

CamTran (Cambria County Transit Authority), Johnstown, PA is soliciting price proposals from qualified firms to complete the **REVISED** <u>Design and Engineering</u> only for a new Access Ramp at the Historic Johnstown Inclined Plane. The new Access Ramp, which must be Americans with Disabilities Act (ADA) compliant, will become part of what will be known as the **Johnstown Inclined Plane Riverside Park.** The Access Ramp will begin at an existing catwalk that extends from the bridge to a door in the lower Inclined Plane building at the base of the hill; it will terminate on the hillside above the Stonycreek River. CamTran welcomes you or your business to submit a proposal for the ramp (Reference picture page #20). If interested, please review the attached information and proposal submittal procedures required by CamTran for consideration of you or your business. If you obtain this solicitation information from the CamTran website (www.camtranbus.com) please ensure that you contact Anne Covalt at acovalt@camtranbus.com or Tom Sylvia at <a href="maiority-ty-ty-access-test

REQUEST FOR PROPOSALS (RFP)

For

Design and Engineering Services-Inclined Plane-Access Ramp 206 Johns Street, Johnstown, PA 15901

Issued by:

Cambria County Transit Authority (CamTran) 502 Maple Avenue Johnstown, PA 15901 Attn: Thomas G. Sylvia

Telephone: 814-535-5526 ext. 222 Fax: 814-536-5951 E-mail: tsylvia@camtranbus.com



Sunday, June 9, 2019	Request for Proposal (RFP) Released (advertised)
Wednesday, June 19, 2019	Voluntary Pre-Proposal Conference and Walk through
	(Optional) 10 a.m. – 12 noon at the lower building Johns St.
Wednesday, June 26, 2019	Written questions from proposers due by 3:00 p.m.
	prevailing time
Monday, July 1, 2019	Written responses to questions issued by CamTran
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Friday, July 26, 2019	Anticipated issuance of award

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SECTION 1—INSTRUCTIONS TO PROPOSERS

1-1 Introduction/Background

CamTran is the primary public transportation provider for the Cambria County, PA region with office locations in Ebensburg and Johnstown, PA (3). In addition to providing public transportation, CamTran also operates the Historic Johnstown Inclined Plane. The Johnstown Inclined Plane is an 896.5-foot (273.3 m) funicular in Johnstown, Cambria County, Pennsylvania. The incline technically is a railroad with two stations (upper and lower) that connect the city of Johnstown, situated in a valley at the confluence of the Stonycreek and Little Conemaugh Rivers, to the borough of Westmont on Yoder Hill. The Johnstown Inclined Plane is billed as the "world's steepest vehicular inclined plane", as it is capable of carrying automobiles, in addition to passengers, up or down a slope with a grade of 70.9 percent. The travel time from one station to the other is 90 seconds. After a catastrophic flood in 1889, the Johnstown Inclined Plane was completed in 1891 to serve as an escape route for future floods, as well as a convenient mode of transportation for the residents of the new communities situated above the valley. The facility is a tourist attraction which has had an average of 150,000 visitors annually over the past several years. Reference the pictures included on page #20.

Recently there has been an increased interest for making the Johnstown Inclined Plane and the adjacent hillside a more unique community asset. The potential opportunities utilizing this asset can significantly change the public's perception of the City of Johnstown, enhance local quality of life and become an economic catalyst by attracting additional visitors.

1-2 Overall Vision for the Inclined Plane Riverside Park

Over time, many ideas have evolved regarding the potential uses for the Johnstown Inclined Plane Riverside Park. These ideas were combined in a recent planning charrette, resulting in a series of renderings showing the many options and how they overlap and fit together. These preliminary renderings are included as Attachment C along with a Project Sketch. In addition to the new Access Ramp and Catwalk, additional future elements of the Park will include:

- A multifaceted Zip Line that will also have an adjacent Adventure Park (being developed separately from this RFP).
- Existing trails and new mountain bike trails either recently constructed or in the planning stages.
- Development of the Inclined Plane Riverside Park itself. This Park will include an Access Ramp system that will be ADA compliant; a dock on the river for fishing; a boardwalk and boat access area; a trail connection from the adjacent Kernville neighborhood; plus landscaping and picnic tables.

The centerpiece of this project is the Access system consisting of the existing catwalk, the new ramp to be designed through this RFP from the catwalk to the hillside, and paved walkway to be designed later following the contour of the hillside to the river (see Attachment C). This Access system will begin at the lower Inclined Plane building at the

base of the hill/railroad tracks/bridge and terminate below at the Stonycreek River. The lower building houses one of the ticket booths to purchase Inclined Plane rides. Currently, a narrow and steep stairway within the building at the end of the catwalk provides the only access to the hillside from the center of downtown. The new Access Ramp with the existing catwalk is needed for multiple reasons:

- 1. To allow people with mobility issues, including those who reside in the housing towers downtown, the opportunity to enjoy a bit of nature and perhaps fish right in the downtown.
- 2. To make it easier for people to carry mountain bikes and boats to the natural side of the river.
- 3. To provide access for emergency personnel and zip-line personnel who may need to help injured cyclists or rescue people from or make repairs to the zip lines.

1-3 Considerations Regarding the Access Ramp

To accommodate canoes, kayaks, bicycles and emergency response personnel, the Access Ramp should be much wider than the minimum required for ADA access, although it would not carry an ambulance or other vehicles. Width and material options should be addressed accordingly.

The Inclined Plane is a National Historic Landmark, so alterations to the structure need to be approved by the Pennsylvania Historical & Museum Commission's (PHMC) State Historic Preservation Office (SHPO). Attachment F is a letter sent to PHMC with a drawing and photos to illustrate the work being proposed, along with the response from PHMC. The pictures (Page #20) show that, coming from the downtown, the left side of the Inclined Plane structure already has a catwalk to the door and after descending the steep, narrow steps inside the building, has a ramp from the structure to the hillside that is visible from across the river. In addition, this left side with the existing catwalk and ramp is where the utility pole and wires are located. Therefore, it seems logical to alter this side of the building and eliminate having to descend the steps inside the building. Using the existing catwalk and constructing a new ramp, would have far less impact on the historic integrity of the structure than building an entirely new catwalk and ramp on the opposite (right) side of the building. (Note: The existing ramp is to remain intact as is.) This rationale was presented to PHMC several years ago, but funding was not obtained at that time to pursue the Access Ramp development. No formal opinion was provided by the agency. Note that, in order to use the existing catwalk, a tension rod located where it meets the bridge will need to be moved. Engineering the relocation of this tension rod is *not* part of this RFP.

The new Access Ramp will meet the wooded hillside at a higher elevation than the existing ramp, so a short connection from the new ramp to the existing trail or a realignment of the existing trail will be required. Design of this connection also is *not* included in this RFP. We anticipate that the contractor for the other park elements will seek permits and/or approvals that will be needed from the Cambria County Conservation District, PA, the Pennsylvania Department of Environmental Protection (DEP) and/or the Army Corps of Engineers for the general park components. Permit work is not included in this RFP.

1-4 Scope of Work (SOW)

For budgetary reasons, the **REVISED** Scope of Work is as follows. Reference the Landscape Renderings at Attachment C. All firms shall price each of these items combined (Total) in their proposals (Attachment A):

Task 1: Complete the engineering and design of an ADA complaint ramp along the Incline Plane structure from the existing catwalk to the hillside above the existing trail.

Task 2: Obtain approval from the Pennsylvania Historical & Museum Commission (PHMC) for ramp modifications to the Inclined Plane structure.

1-5 Proposal Submission

- The Proposer will submit one (1) hard copy original proposal with the originals of all the required paperwork along with three (3) hard copies and one electronic copy of the proposal and the required paperwork. FAX copies will not be accepted. The information must be submitted in a **sealed envelope** clearly marked on the outside Access Ramp, Inclined Plane, Johnstown, PA.
- Cost Submittal. The price breakdown should be submitted on the PRICE PROPOSAL FORM Attachment A included in this RFP. The cost submittal shall be placed in a separately **sealed envelope** within the sealed proposal and kept separate from the technical submittal. Failure to meet this requirement may result in disqualification of the proposal. Such cost submittal shall include any hourly rates, commission rates or other method of charging CamTran for services under this RFP.
- Proposals can be sent certified mail or other means that guarantees a signed receipt to CamTran, 502 Maple Ave, Johnstown, PA 15901. Proposals may also be hand-delivered. All proposals must be submitted by <u>1 P.M., Wednesday, July 10, 2019.</u> FAXED/EMAIL PROPOSALS WILL NOT BE ACCEPTED.
- The bidder must submit all pertinent and requested information regarding the project.
- The Bidder is requested to submit a realistic scenario of the amount of time that will be needed to complete the project from date of award.
- Price(s) quoted must remain in effect for a period of 180 days after bid award.
- Please sign all attachments and return where appropriate. Failure to sign appropriate forms may render your bid *NON-RESPONSIVE*.
- The project start date will begin with Award of Project.
- CamTran does not anticipate any downtime and/or any interruptions in the use of the Inclined Plane. Any issues as such shall be coordinated in advance with CamTran. These interruptions should be kept to a minimum.
- Any deviation from the specifications outlined in this RFP shall be clearly described in the proposal and reason for those changes detailed.
- All QUESTIONS MUST BE SUBMITTED IN WRITING using Attachment B.
- Three (3) references must be submitted on work completed in the past two (2) years consisting of similar types of projects.
- All sub-contractors must comply with all the same Federal, State and Local rules and regulations.

- Proof of Insurance coverage must be submitted with this proposal.
- All contractors/sub-contractors must comply with all OSHA rules and regulations.
- CamTran reserves the right to reject any and all bids, or parts thereof, whenever it determines, at its sole discretion that such rejection is in the best interest of CamTran.
- All records of this contract must be and will be kept on file for a minimum of three (3) years after the completion of this project.
- Payment will be paid promptly after complete invoices, outlining the details of the work completed is reviewed and approved by the CamTran Board of Directors.
- IF PROPOSALS ARE MAILED, HAND DELIVERED OR SENT BY COURIER, DELIVER TO: CamTran, Procurement Department; 502 Maple Avenue, Johnstown, PA 15901. All RFP's must be submitted in a <u>sealed</u> envelope and the RFP title, date and time of proposal submission deadline, as reflected above, must clearly appear on the face of the proposal package.

1-6 Postponement or Cancellation of Request for Proposals

CamTran reserves the right to cancel the RFP at any time or change the date and time for submitting proposals by announcing same prior to the date and time established for proposal submittal.

1-7 Proposal Signature

Each proposal shall include the RFP Cover Page signed by the Proposer submitting the proposal. The name and title of the Proposer shall be included following the signature.

1-8 Addenda

Receipt and review of Addenda (if applicable) by each Proposer must be acknowledged on the Attachment A Price Proposal form. All addenda must be signed and returned with each proposal.

1-9 Procurement Schedule

The following are key dates for this RFP. CamTran is committed to adhering to this schedule, but reserves the right to make modifications as it deems necessary at its sole discretion.

Sunday, June 9, 2019	Request for Proposal (RFP) Released (advertised)
Wednesday, June 19, 2019	Voluntary Pre-Proposal Conference and Walk through
•	(Optional) 10 a.m. – 12 noon at the lower building Johns St.
Wednesday, June 26, 2019	Written questions from proposers due by 3:00 p.m.
	prevailing time
Monday, July 1, 2019	Written responses to questions issued by CamTran
Wednesday, July 10, 2019	Proposals due by 1 p.m. prevailing time
Friday, July 26, 2019	Anticipated issuance of award

1-10 Pre-Proposal Conference and Property Walkthrough

There will be an <u>optional</u> pre-proposal conference on <u>Wednesday</u>, <u>June 19</u>, 2019, 10:00 a.m. to 12:00 p.m. at 206 Johns Street, Johnstown, PA 15901 (lower level of the Inclined Plane).

1-11 Inquiries

The Proposer is required to show on all correspondence with CamTran the following: "Proposal for the Inclined Plane Access Ramp." Any communication with CamTran should be written and directed to: Thomas G. Sylvia, Procurement, CamTran; 502 Maple Avenue, Johnstown, PA 15901. Written communication may be emailed to tsylvia@camtranbus.com. Correspondence will not be accepted by any other party. Contact with any other person may result in the Proposer being disqualified from consideration.

1-12 Interpretation of RFP and Contract Documents

No oral interpretations as to the meaning of the RFP will be made to any Proposer. Any explanation desired by a Proposer regarding the meaning or interpretation of the RFP, specifications, etc., must be requested in writing and with sufficient time allowed (a minimum of five (5) calendar days before date set to receive proposals) for a reply to reach other Proposers before the submission of their proposals. Any interpretation or change made will be in the form of an addendum to the RFP, specifications, etc., as appropriate, and will be furnished as promptly as is practicable to all parties to whom the RFP has been issued, but at least five (5) calendar days prior to the proposal due date. All Addenda will become part of the RFP and any subsequently awarded contract. Oral explanations, statements or instructions given by CamTran before the award of the contract will not be binding upon CamTran.

1-13 Examination of RFP and Contract Documents

Proposals should be reviewed for accuracy before submission to CamTran. CamTran will not be responsible for errors in any proposal. CamTran makes no representations or warranties express or implied as to the accuracy and/or completeness of the information provided in this RFP. This RFP, including all supplements, if any, is made subject to errors or omissions, withdrawal or cancellation without prior notice, and changes to, or additions to same. Proposers are expected to examine the specifications, schedules and all instructions. Failure to do so will be at the Proposer's risk.

The submission of a proposal shall constitute an acknowledgment upon which CamTran may rely that the Proposer has thoroughly examined and is familiar with the solicitation and has reviewed and inspected all applicable statutes, regulations, ordinances and resolutions addressing or relating to the property described hereunder. The failure or neglect of a Proposer to receive or examine such documents, statutes, regulations, ordinances or resolutions shall in no way relieve the Proposer from any obligations with respect to its Proposal or to any contract awarded pursuant to this RFP. No claim for additional compensation will be allowed which is based on lack of knowledge or misunderstanding of this RFP, statutes, regulations, ordinances or resolutions.

1-14 Cost of Proposals

CamTran is not liable for any costs incurred by Proposers in the preparation, presentation, testing or negotiation of Proposals submitted in response to this solicitation.

1-15 Modification or Withdrawal of Proposals Prior to Submittal Date and Late Proposals

At any time before the time and date set for submittal of proposals, a Proposer may request to withdraw or modify its Proposal. Such a request must be made in writing by a person with authority as identified on the RFP Cover Page, provided their identity is made known and a receipt is signed for the proposal. All proposal modifications shall be made in writing executed and submitted in the same form and manner as the original proposal. Any proposal or modification of proposal received at CamTran's office designated in the solicitation after the exact time specified for proposal receipt will not be considered.

1-16 Errors and Administrative Corrections

CamTran will not be responsible for any errors in proposals. Proposers will only be allowed to alter proposals after the submittal deadline in response to requests for clarifications or Best and Final Offers. CamTran reserves the right to request an extension of the proposal period from a Proposer or Proposers.

CamTran reserves the right to allow corrections or amendments to be made that are due to minor administrative errors or irregularities, such as errors in typing, transposition or similar administrative errors. Erasures or other changes or entries made by the Proposer must be initialed by the person signing the proposal.

1-17 Compliance with RFP Terms and Attachments

This Agreement shall be governed by the laws of the Commonwealth of Pennsylvania as they may from time to time be in effect, without giving effect to any conflicts of law provisions. Any suit or proceeding arising out of, relating to or mentioning this Agreement shall be commenced only in the state or federal courts maintaining jurisdiction in Johnstown, Cambria County, Pennsylvania, and each party to this Agreement hereby consents to the jurisdiction and venue of the Court of Common Pleas of Cambria County.

1-18 Letter of Intent

The successful Proposer shall be required to execute a Letter of Intent within (10) ten days of notification of the selection committee's decision to proceed with negotiations.

1-19 Proposal Requirements

Proposals must be submitted in the format, including heading descriptions, outlined in Attachment E. To be considered, the proposal must respond to all requirements in this part of the RFP. Any other information thought to be relevant, but not applicable to the enumerated categories, should be provided as an Appendix to the proposal. Each proposal shall consist of two (2) separately sealed submittals. The submittals are as follows: (i) Technical Submittal (ii) Cost Submittal.

Proposals will clearly state the qualifications of the contractor and any subcontractors who may team with the lead contractor, along with the scope and budget as follows:

• All proposals are limited to twenty (23) total pages (exclusive of covers). (see Attachment E)

- Overall qualifications
- Related project experience with references (graphics encouraged)

Proposed budget, with the two tasks described above priced together.

• Pre-bid briefing and site visit

A voluntary pre-bid briefing will be held at the site for interested contractors at the Inclined Plane gatehouse, on **Wednesday**, **June 19**, **2019** from 10 a.m. until 12 noon.

Questions from Prospective Contractors

Any proposer with questions about this RFP must email those questions to CamTran by **Wednesday, June 26, 2019 by 3 p.m.** utilizing the form included as Attachment B. CamTran will email a list of all questions and all answers to contractors who have expressed an interest.

1-20 Collusion

The Proposer guarantees that the proposal submitted is not a product of collusion with any other Proposer and no effort has been made to fix the proposal price of any Proposer or to fix any cost element of any proposal price. Failure to submit the signed affidavit at the time proposals are due shall be grounds for disqualification of the Proposer's offer. If CamTran determines that collusion has occurred among Proposers, none of the proposals from the participants in such collusion shall be considered. CamTran's determination shall be final.

1-21 Pricing

Price should be the amount being proposed to perform the **REVISED** Design and Engineering services as described in the Scope of Work. CamTran intends to hold all pricing to the bid amount presented and cannot entertain future change orders. All applicable required Federal, State and Local taxes will be the responsibility of the proposer. (Taxes should not be included in the price proposal). Bidders should use the Price Offer Sheet included as Attachment A with their bid.

1-22 Rejection of Proposals

CamTran reserves the <u>right to reject any or all proposals</u> and waive any minor informalities or irregularities.

1-23 Protest Procedures

Any protest or objection to the terms and condition will be submitted for resolution to CamTran's Executive Director. Each protest must be made in writing and supported by sufficient information to enable the protest to be considered. A protest or objection will not be considered by CamTran if it is insufficiently supported or if it is not received within the specified time limits.

All protests based upon restrictive procedures, alleged improprieties, or similar situations prior to proposal opening must be submitted to CamTran's Executive Director no later than five (5) calendar days prior to the specified proposal opening date.

Protests arising after the opening of proposals based upon grounds that were known or should have been known will be submitted to CamTran's Executive Director within five (5) business days after notification of award. All protests will be considered by the Protest Review Board. The decision of the Protest Review Board is final. The Protest Review Board will consist of the Executive Director, Controller and the Legal Counsel for CamTran. No further appeals will be considered by CamTran. The Proposer may file a protest with FTA provided that the proposal complies fully with the requirements of FTA Circular 4220.1F.

Review Process

Except as otherwise provided in this solicitation, any Protest concerning a question of fact arising under this solicitation which is not disposed of by agreement shall be decided by a Protest Board comprised of CamTran's Executive Director, Controller and Legal Counsel. This board shall reduce their decision to writing and mail or otherwise furnish a copy thereof to the Proposer. The decision of the Protest Board shall be final, unless determined by a court of competent jurisdiction to have been fraudulent, capricious, arbitrary and so grossly erroneous as necessarily to imply bad faith or not supported by substantial evidence. In connection with any appeal proceeding under this clause, the successful Proposer shall be afforded an opportunity to be heard and to offer evidence in support of its appeal. Pending the final decision of a Protest hereunder, the successful Proposer shall proceed diligently with this transaction and in accordance with the Protest Board's decision.

This clause does not preclude consideration of law questions in connection with decisions provided for in this clause, provided that nothing in this solicitation shall be construed as making final the decision of any administrative official, representative or board on a question of law.

1-24 Proposal Alternatives

Proposals shall address all requirements identified in this solicitation. In addition, CamTran may consider proposal alternatives submitted by Proposers that provide enhancements beyond the RFP requirements. Proposal alternatives may be considered if deemed to be in CamTran's best interests. Proposal alternatives must be clearly identified.

1-25 Deliverables

- 1. The engineering firm will provide a written report, full engineering drawings and bid specifications for the project described within this solicitation. The report will include detailed cost estimates, potential sources of funding/financing and an estimated timeframe for the completion of each component.
- 2. Approval of the State Historic Preservation Office (SHPO) for the design is also required.
- 3. Three (3) hard copies and one electronic copy of the deliverables shall be provided.

SECTION 2 – PROPOSAL EVALUATION & AGREEMENT

2-1 General

CamTran will establish an Evaluation Committee to review all proposals. The Evaluation Committee may elect to interview Proposers in order to clarify their proposals and/or for the Proposers to make oral presentations. If interviews, presentations, or negotiations are held, the evaluation team may reevaluate the proposals of those firms interviewed. CamTran expects all offerors to fully cooperate with its evaluation process.

2-2 Eligibility

CamTran will enter into an agreement with the responsible and responsive Proposer based on the evaluation and award process described in this RFP. CamTran will not evaluate the proposals solely on the basis of cost. Selection of the successful Proposer shall be based on the Proposer's responsiveness to the requirements and conditions described in this RFP.

2-3 Evaluation of Proposals

The Evaluation Committee will evaluate the proposals in accordance with the criteria set forth below. The total evaluation points, as separately determined by each team member, will be added and each Proposer will be ranked in numerical sequence, from the highest to the lowest score. CamTran may then select the proposal that is considered to be the most advantageous to CamTran.

Scoring and Evaluation Criteria

Criteria for selection:

Proposer's qualifications and experience will be a critical consideration. Thoroughness and clarity of proposals, budget and creativity are other factors CamTran will consider according to the scale below. The selection of a contractor will be made by CamTran in coordination with the City of Johnstown and input from the project steering committee.

Proposals will be evaluated on the following criteria:

Total
Budget Considerationsup to 30 points
Clarity, Soundness, Thoroughness and Creativity of Proposalup to 40 points
Qualifications/Experience of Consultantup to 30 points

• Review and selection of contractor

CamTran may select a firm based on the proposals received or may wish to interview two or more firms. CamTran's decision will <u>tentatively</u> be communicated by <u>Friday</u>, <u>July 26</u>, <u>2019</u>.

2-4 Competitive Range

The competitive range is determined through a preliminary evaluation of proposals, which applies the evaluation criteria as set forth above. The groups remaining in the competitive range may be invited to participate in additional evaluations, testing, the Best and Final Offer (BAFO) or negotiations.

2-5 Negotiations

CamTran may undertake concurrent negotiations with Proposers determined to be within a competitive range. CamTran does, however, reserve the right to enter into an agreement based on the original proposal without any negotiations. The decision to enter into an agreement without negotiation may be made by CamTran if, in the sole opinion of CamTran, preliminary evaluation of the proposals received indicates that the best proposal has been received.

Concurrent negotiations with all Proposers whose proposals are within the competitive range may be conducted by CamTran. Negotiations may be entered with one or more Proposers to finalize contract terms and conditions. In the event negotiations are not successful, CamTran may initiate negotiations with the next ranking Proposer or reject all proposals.

Negotiation of an agreement will be in conformance with all applicable federal, state and local laws, regulations, rules and procedures. The objective of the negotiations will be to reach an accord on all provisions of the proposed agreement.

Upon completion of negotiations, the proposal that best meets the requirements of the RFP and

ranks the highest evaluation score earned by its proposal based on the evaluation criteria shall be recommended to the CamTran's Board of Directors as the successful Proposer for disposition of the property.

2-6 Deliberately Left Blank

2-7 Deliberately Left Blank

2-8 Agreement

An agreement, if any, will be made by CamTran to the responsible Proposer whose proposal best meets the requirements of the RFP. CamTran shall have no obligations until an agreement is signed between the Proposer and CamTran.

2-9 Execution of Agreement

The Proposer to whom CamTran intends to enter into an agreement with shall sign the agreement and return it to CamTran. Upon authorization by CamTran's Board of Directors, or designee, the agreement will be countersigned.

2-10 Public Disclosure of Proposals

CamTran is subject to the Pennsylvania Right to Know Law. Therefore, the contents of this RFP and the Proposers proposal submitted in response to this RFP shall be considered public documents and are subject to the Pennsylvania statutes. As such, all proposals submitted to CamTran will be available for inspection and copying by the public after the selection process has been concluded. There are, however, various items that may be exempt under public disclosure laws. If any proprietary, privileged or confidential information or data is included in the Proposer's proposal, each page that contains this information or data should be marked as such (e.g., "Proprietary," "Business Secret," or "Competition Sensitive") in order to indicate your claims to an exemption provided in the Pennsylvania statute. After being reviewed by CamTran's legal counsel, it is CamTran's sole right and responsibility, however, to make the determination whether these items are exempt or not exempt under the Pennsylvania statutes.

All data and documentation developed as a result of any agreement shall become the property of CamTran.

2-11 Conflicts of Interest and Non-Competitive Practices

<u>Conflict of Interest</u> – Proposer, by submitting a proposal to CamTran, has thereby agreed that it has no direct or indirect pecuniary or proprietary interest, and that it shall not acquire any interest, which conflicts in any manner or degree with this agreement and that it shall not employ any person or agent having any such interest. In the event that the Proposer or its agents, employees or representatives hereafter acquires such a conflict of interest, it shall immediately disclose such

interest to CamTran and take action immediately to eliminate the conflict or to withdraw from this agreement, as CamTran may require.

<u>Contingent Fees and Gratuities</u> – Proposer, by submitting a proposal to CamTran herein has thereby agreed:

No person or agency except bona fide employees or designated agents or representatives of Proposer has been or will be employed or retained to solicit or secure an agreement or understanding that a commission, percentage, brokerage or contingent fee would be paid; and no gratuities, in the form of entertainment, gifts or otherwise, were offered or given by Proposer or any of its agents, employees or representatives, to any official, member or employee of CamTran or other governmental agency with a view toward securing this award or securing favorable treatment with respect to the awarding or amending, or the making of any determination with respect to this agreement.

ATTACHMENT A: PRICE PROPOSAL

TO: CamTran

In compliance with your Request for Proposal (RFP) to provide Engineering and Design Services for an Access Ramp at the Johnstown Inclined Plane facility to be opened on <u>Wednesday</u>, <u>July 10</u>, <u>2019 at 1:00 p.m.</u> prevailing time, the undersigned proposes to furnish all work, labor, equipment and materials required to conform to the requirements of this proposal.

Total Bid Price for Engineering and Design Services-A	access Ramp at the Inclined Plane.
Total \$	
The undersigned acknowledges the receipt of the follow	ving addenda to the above referenced IFB (if applicable):
Addendum No	
Name of Individual, Partnership or Corporation	<u></u>
Address	
Name of Authorized Person	Signature
Title of Authorized Person	Date
By execution below, CamTran accepts the offer as indi	cated in this IFB.
(Printed Name of Contracting Officer)	(Signature)
Date of Award	

ATTACHMENT B: PROPOSER'S REQUESTS, QUESTIONS AND CLARIFICATIONS FORM

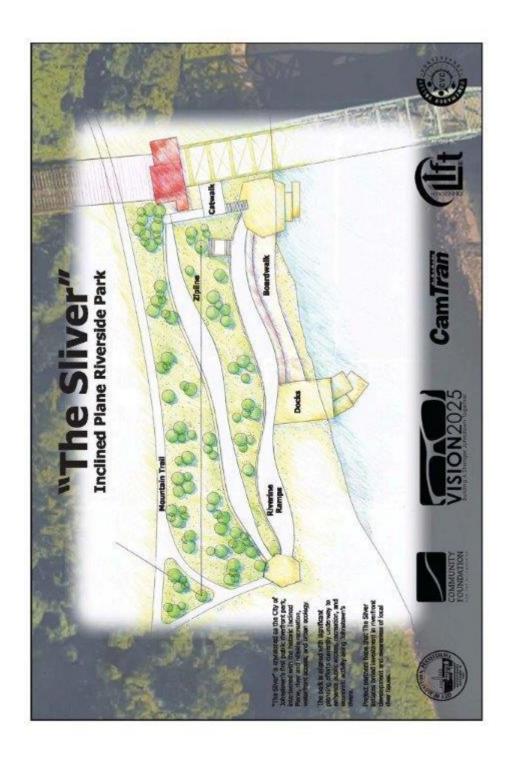
Access Ramp-Inclined Plane

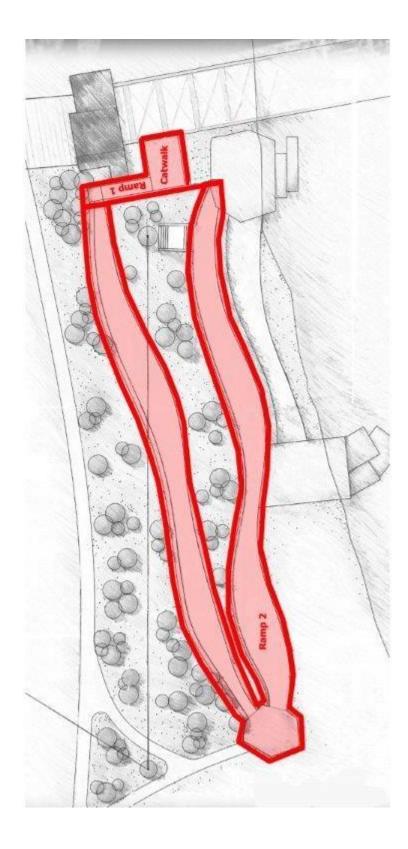
All requests, questions and clarifications must be submitted in writing and received on or before <u>3 p.m., Wednesday, June 26, 2019</u>. Proposers shall use the following form:

Name of Company/Individual:		
Nature of Company (partnership, co	orporation, etc.):	
Contact Person:		
Phone #:	E-mail:	
Company's Mailing Address:		
REQUESTS/COMMENTS/QUEST	ONS/CLARIFICATIONS (attach additional sheets as necessary):	
	any, are on the Comptroller General's list of ineligible bidders; and tha g business with the Commonwealth of Pennsylvania or its	it we
Owner/Partner/Officer	Date	

Submit this completed form by <u>3 p.m., June 26, 2019</u> to: tsylvia@camtranbus.com

ATTACHMENT C: Landscape Renderings and Project Sketch





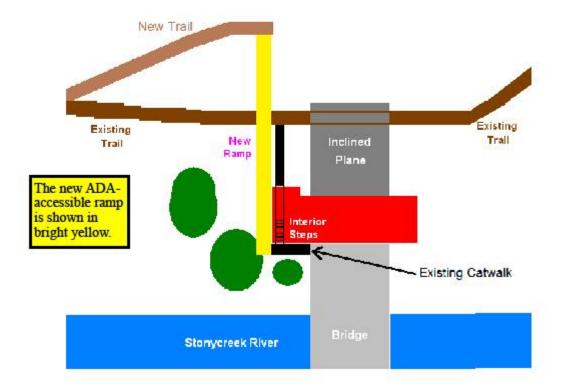
Project Sketch: Inclined Plane Hillside Access

This RFP seeks proposals to engineer an ADA access ramp shown in yellow on the photo on the right and in the drawing below. The total access system has three (3) elements listed below, but this proposal seeks pricing ONLY #2:

- The existing catwalk remains although a tension rod will need to be reconfigured separately.
- This RFP is only for engineering of a new ramp from the existing catwalk to the hillside (bright yellow on photo and below).
- A new trail connecting to the existing trail and to near the river will be designed later.
 The sketch below shows the

new ramp (the only thing to be engineered now) in bright yellow.





ATTACHMENT D: PICTURES





6/5/19







6/5/19







6/5/19

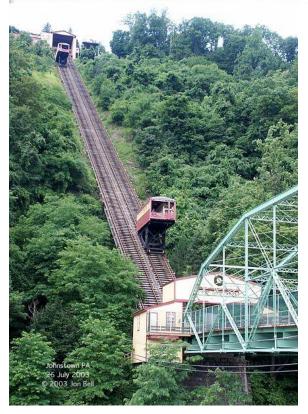






6/5/19





6/5/19







6/5/19













Attachment E: Proposal Formatting and Content Requirements

CamTran reserves the right to request additional information which, in CamTran's opinion, is necessary to assure that the Proposer's competence, number of qualified employees, business organization and financial resources are adequate to perform according to the RFP.

CamTran may make such investigations as deemed necessary to determine the ability of the Proposer to perform the work and the Proposer shall furnish to CamTran all such information and data for this purpose as requested by CamTran. CamTran reserves the right to reject any proposal if the evidence submitted by, or investigation of, such Proposer fails to satisfy CamTran that such Proposer is properly qualified to carry out the obligations of the agreement and to complete the work specified. Please format your proposal response utilizing the format outlined below in III-1 through III-6.

- **III-1. Statement of the Issues.** State in succinct terms your understanding of the issues presented or the service required by this RFP.
- **III-2. Management Summary.** Include a brief narrative description of the proposed effort and a list of the items to be delivered or services to be provided.
- III-3. Work Plan. Describe in narrative form your technical plan for accomplishing the work required.
- **III-4. Prior Experience.** Include experience in work done by individuals who will be assigned to this project as well as that of your company. Studies or projects referred to should be identified and the name of the customer shown, including the name, address and telephone number of the responsible official of the customer, company or agency who may be contacted
- **III-5. Personnel.** Include the number and names where practicable, of who will be engaged in the work. Identify subcontractors you intend to use and the services they will perform.
- **III-6. Cost Submittal.** The information requested in this section shall constitute your cost submittal. The Cost Submittal shall be placed in a separate **sealed envelope** within the sealed proposal, separate from the technical submittal.

Any costs not provided in the cost proposal will be assumed as no charge to CamTran.

The selected Proposer shall only perform work on this contract after the Effective Date is affixed and the fully-executed contract sent to the selected Proposer. CamTran shall issue a written Notice to Proceed to the selected Proposer authorizing the work to begin on a date which is on or after the Effective Date. The selected Proposer shall not start the performance of any work prior to the date set forth in the Notice to Proceed and CamTran shall not be liable to pay the selected Proposer for any service or work performed or expenses incurred before the date set forth in the Notice to Proceed. No CamTran employee has the authority to verbally direct the commencement of any work under this Contract.

Attachment F: Communication with Pennsylvania Historical and Museum Commission

PHMC Correspondence, 2010



Aug. 15, 2010

Ms. Jean Cutler Director, Bureau of Historic Preservation PA Historic & Museum Commission 400 North Street 2nd Floor Harrisburg PA 17120-0024

Dear Ms. Cutler:

I am writing to ask for guidance in developing better access to the hillside adjacent to the Johnstown Inclined Plane, a National Historic Landmark.

Central Regional Office

130 Locust Street Suite 200 Harrisburg, PA 17101 phone: 717-230-8044 fax: 717-230-8045

Northwest Regional Office

301 Chestnut Street Meadville, PA 16335 phone: 814-332-2946 fax: 814-724-5446

Northeast Regional Office

175 Main Street Luzerne, PA 18709 phone: 570-718-6507 fax: 570-718-6508

Southeast Regional Office

123 Chestnut Street Suite 401 Philadelphia, PA 19106 phone: 215-592-7020 fax: 215-592-7026

Southwest Regional Office

22 Terminal Way Pittsburgh, PA 15219 phone: 412-481-9400 fax: 412-481-9401 I work as part of the Laurel Highlands Conservation Landscape Initiative (CLI) and Lift Johnstown, a local collaborative implementing three local and regional plans. Both the CLI and Lift see access to recreation as vital to attracting residents back to the urban core and thereby making historic communities sustainable. The City Master Plan proposed development of a community park with boat access along the wooded hillside by the Inclined Plane. Access here will also improve interpretive opportunities for the 1902 Rolling Mill Mine disaster, which killed 102 coal miner from Cambria Iron Company. Partner include the City, PEC, Conemaugh Valley Conservancy and Johnstown Area Heritage Association.

Attached is a one-page summary that illustrates what exists and what we propose to do at the Inclined Plane. PHMC approval of structural changes is needed. We hope to receive some informal guidance from you to make sure we fully consider and address your concerns as we prepare to apply for funding. PA Fish & Boat Commission had suggested a new catwalk and ramp around the right side of the structure as less expensive, but we believe the historic impact would be far less if we modify and extend the existing catwalk on the left and replaced an existing ramp with a new ramp at a higher elevation. We hope we can get some guidance from PHMC so that we can move forward with some confidence to apply for funding.

Please contact me at your earliest convenience by cell at 814.659.3465 or e-mail at bclemenson@pecpa.org.

Sincerely,

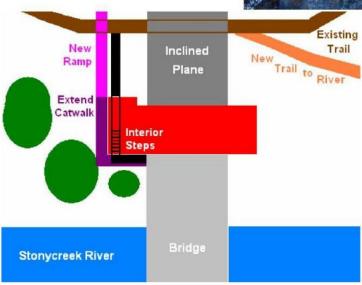
Brad Clemenson

CC: City of Johnstown, JAHA, CVC, DCNR

Park and Boat Access at Inclined Plane

The photo at right shows the left side of the structure at the bottom of the Johnstown Inclined Plane. People can access the trail on the wooded hillside by crossing the bridge, turning left on a small catwalk, entering a door, descending steep and narrow steps, ducking under a beam and walking out on the ramp to the hillside. This access is far from ADA-compliant, somewhat dangerous even for nimble people.





The illustration at left shows the proposed changes. The existing small catwalk (black) would be widened and extended around the side of the building (purple); a new ramp would be built at a higher level (light purple). We believe this approach will minimize any impacts to the structure because this side of the structure has already been changed and hidden or partly obscured by trees depending on the season.



Commonwealth of Pennsylvania Pennsylvania Historical and Museum Commission Bureau for Historic Preservation

Commonwealth Keystone Building, 2nd Floor 400 North Street Harrisburg, PA 17120-0093 www.phmc.state.pa.us

22 October 2010

Brad Clemenson Pennsylvania Environmental Council 22 Terminal Way Pittsburgh, PA 15219

TO EXPEDITE REVIEW USE BHP REFERENCE NUMBER

RE:

ER#97-1887-021-G

Trail Access from Johnstown Incline Plane, Johnstown, Cambria Co.

Dear Mr. Clemenson:

The Bureau for Historic Preservation (the State Historic Preservation Office) has reviewed the above named project in accordance with Section 106 of the National Historic Preservation Act of 1966, as amended in 1980 and 1992, and the regulations (36 CFR Part 800) of the Advisory Council on Historic Preservation as revised in 1999 and 2004, and under the authority of the Environmental Rights amendment, Article 1, Section 27 of the Pennsylvania Constitution and the Pennsylvania History Code, 37 Pa. Cons. Stat. Section 500 et seq. (1988). These requirements include consideration of the project's potential effect upon both historic and archaeological resources.

The Bureau supports the idea of making the trail and proposed amenities along the wooded hillside accessible from the Johnstown Inclined Plane. While it is not clear under what regulations we would be authorized to comment on your project, we are happy at this early stage to provide guidance. Your concept of accessing the trail from the Inclined Plane does not include enough detail for us to provide comments on how the project might affect this historic property. The main concerns that must be considered as plans progress are how the building will be changed visually and structurally with the addition/expansion of a catwalk. Will the proposed change require additional structural support? Will the new catwalk obscure character defining features of the historic resource? Any loss or covering of historic fabric should be minimal or avoided.

We look forward to continuing consultation on this project as you find funding for the project and develop more detailed plans. We agree this will be good way to improve interpreting the mining disaster as well as the rich history of Johnstown.

If you need further assistance in this matter, contact Ann Safley at (717) 787-9121.

Sincerely

Douglas C. McLearen, Chief

Division of Archaeology & Protection

DMcL/ras

Attachment G: Clauses & Certifications (Required)

NON-COLLUSION AFFIDAVIT

It is hereby certified that the undersigned is the only person(s) interested in this bid as principal, and that the bid is made without collusion with any person, firm, or corporation.

NAME OF INDIVIDUAL, PARTNERSHIP OR CORPORATION	
	_
	_
ADDRESS	_
A LITHODIZED DEDGON	_
AUTHORIZED PERSON	
SIGNATURE	_
TITLE	_
DATE	

(FAILURE TO COMPLETE THIS FORM AND SUBMIT IT WITH YOUR BID WILL RENDER THE BID NON-RESPONSIVE)

CERTIFICATION OF LOWER-TIER PARTICIPANTS REGARDING DEBARMENT, SUSPENSION, AND OTHER INELIGIBILITY AND VOLUNTARY EXCLUSION

The Lower Tier Participant (potential sub-recipient under an FTA project, potential third party contractor, or potential subcontractor under a major third party contract),
(Name of Firm)certifies, by submission of this BID, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any Federal department or agency.
(If the Lower Tier Participant (potential sub-recipient under an FTA project, potential third party contractor, or potential subcontractor under a major third party contract),
(Name of Firm) is unable to certify to any of the statements in this certification, such participant shall attach an explanation to this BID.)
THE LOWER-TIER PARTICIPANT (potential sub-recipient under an FTA project, potential third party contractor, or potential subcontractor under a major third party contract),
(Name of Firm)
CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION AND UNDERSTANDS THAT THE PROVISIONS OF 31 USC SECTIONS 3801 ET SEQ. ARE APPLICABLE THERETO.
Signature and Title of Authorized Official
The undersigned chief legal counsel for the
(Name of Firm)
Hereby certifies that the
(Name of Firm)
Has authority under State and Local law to comply with the subject assurances and that the certification above has been legally made.
Signature of Applicant's Attorney and Date

(FAILURE TO COMPLETE THIS FORM AND SUBMIT IT WITH YOUR BID WILL RENDER THE BID NON-RESPONSIVE)

DISADVANTAGED BUSINESS ENTERPRISE (DBE) CERTIFICATION

- (1) Policy. It is the policy of the Department of Transportation that disadvantaged business enterprises as defined in 49 CFR Part 26 shall have the maximum opportunity to participate in the performance of contracts financed in whole or part with Federal funds under this agreement. Consequently, the DBE requirements of 49 CFR Part 26 apply to this agreement.
- (2) DBE Obligation. The supplier or contractor agrees to ensure that disadvantaged business enterprises as defined in 49 CFR Part 26 have the maximum opportunity to participate in the performance of contracts and subcontracts financed in whole or in part with Federal funds provided under this agreement. In this regard all recipients or contractors shall ensure that all necessary and reasonable steps in accordance with 49 CFR Part 26 to ensure that disadvantaged and women business enterprises have the maximum opportunity to compete for and perform contracts. Recipients and their contractors shall not discriminate on the basis of race, creed, color, national origin, age, sex, handicap or disability in the award and performance of DOT-assisted contracts.

Failure to carry out these requirements is a material breach of the contract which may result in the termination of this contract or such other remedy as the recipient deems appropriate.

NAME OF AUTHORIZED PERSON	
SIGNATURE OF AUTHORIZED PERSON	
TITLE AND DATE	

COMMONWEALTH NON-DISCRIMINATION CLAUSE

1. Contractor shall not discriminate against any employee, applicant for employment, independent contractor or any other person because of race, color, religious creed, ancestry, national origin, age, sex, handicap or disability.

Contractor shall take affirmative action to ensure that applicants are employed, and that employees or agents are treated during employment, without regard to their race, color, religious creed, ancestry, national origin, age, sex, handicap, or disability. Such affirmative action shall include, but is not limited to, the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training.

Contractor shall post in conspicuous places, available to employees, agents, applicants for employment and other persons, a notice to be provided by the contracting agency setting forth the provisions of this non-discrimination clause.

- 2. Contractor shall in advertisements or requests for employment placed by it or on its behalf state all qualified applicants will receive consideration for employment without regard to race, color religious creed, ancestry, national origin, age, sex, handicap or disability.
- 3. Contractor shall send each labor union or workers' representative with which it has a collective bargaining agreement or other contract or understanding, a notice advising said labor union or workers' representative of its commitment to this non-discrimination clause. Similar notice shall be sent to every other source of recruitment regularly utilized by Contractor.
- 4. It shall be no defense to a finding of noncompliance with the Contract Compliance Regulations issued by the Pennsylvania Human Relations Commission or this non-discrimination clause that Contractor has delegated some of its employment practices to any union, training program or other source of recruitment which prevents it from meeting its obligations. However, if the evidence indicates that the Contractor was not on notice of the third-party discrimination or made a good faith effort to correct it, such factor shall be considered in mitigation in determining appropriate sanctions.
- 5. Where the practices of a union or of any training program or other source of recruitment will result in the exclusion of minority group persons, so that Contractor will be unable to meet its obligations under the Contractor Compliance Regulations issued by Pennsylvania Human Relations Commission, or this non-discrimination clause. Contractor shall then employ and fill vacancies through other non-discriminatory employment procedures.
- 6. Contractor shall comply with the Contract Compliance Regulations of the Pennsylvania Human Relations Commission, 16 PA Code Chapter 49 and with all laws prohibiting discrimination in hiring or employment opportunities. In the event of Contractor's non-compliance with the non-discrimination clause of this contract or with any such laws, this contract may, after hearing and adjudication, be terminated or suspended, in whole or in part, and Contractor may be declared temporarily ineligible for further Commonwealth contracts, and such other sanctions may be imposed and remedies invoked as provided by the Contract Compliance Regulations.
- 7. Contractor shall furnish all necessary employment documents and records to, and permit access to its books, records and accounts by, the contracting agency and the Human Relations Commission, for purposes of investigation to ascertain compliance with the provisions of the Contract Compliance Regulations, pursuant to PA Code Chapter 49.35 of these Regulations. If Contractor does not possess documents or records reflecting the necessary information requested, it shall furnish such information on reporting forms supplied by the contracting agency or the Commission.

- 8. Contractor shall actively recruit minority subcontractors or subcontractors with substantial minority representation among their employees.
- 9. Contractor shall include the provisions of this non-discrimination clause in every subcontract, so that such provisions will be binding upon each subcontractor.
- 10. The terms used in this non-discrimination clause shall have the same meaning as in the Contract Compliance Regulations issued by the Pennsylvania Human Relations Commission, 16 PA Code Chapter 49.
- 11. Contractor obligations under this clause are limited to the Contractor's facilities within Pennsylvania or where the contract is for purchase of goods manufactured outside of Pennsylvania, the facilities at which such goods are actually produced.

er herein above the word Contractor is used it shall also include the word Engineer, Consultanther, or other Contracting Party as may be appropriate.
NAME OF AUTHORIZED PERSON
SIGNATURE OF AUTHORIZED PERSON
TITLE AND DATE

DEBARMENT CERTIFICATION

The bidder hereby certifies to the best of its knowledge and belief that its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, and declared ineligible or voluntarily excluded from covered transactions by the Commonwealth of Pennsylvania, the Federal Government or other states.
- 2) Have not within the preceding three-year period been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (Federal State or local) transaction or contract under a public transaction; violation of Federal or State antitrust or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- 3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and
- 4) Have not within the preceding three-year period had one or more public transactions (Federal, State or local) terminated for cause or default.

THE BIDDER CERTIFIES OR AFFIRMS THE TRUTHFULNESS AND ACCURACY OF THE CONTENTS OF THE STATEMENTS SUBMITTED ON OR WITH THIS CERTIFICATION.

NAME OF AUTHORIZED PERSON	
SIGNATURE OF AUTHORIZED PERSON	
TITLE AND DATE	

AMERICANS WITH DISABILITIES (ADA) ACT COMPLIANCE

The undersigned agrees to comply with, and assure that any third party contractor under this Project complies with all applicable requirements of the Americans with Disabilities Act of 1990 (ADA), 42 USC & 12101 et seq. and 49 USC & 322; Section 504 of the Rehabilitation Act of 1973, as amended, 29 USC & 794; Section 16 of the Federal Transit Act, as amended, 49 USC app. & 1612; and the following regulations and any amendments thereto:

- 1) U.S. DOT regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 C.F.R. Part 37;
- 2) U.S. DOT regulations, "Nondiscrimination on the basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Assistance," 49 C.F.R. Part 27;
- 3) U.S. DOT regulations, "Americans with Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 49 C.F.R. Part 38;
- 4) Department of Justice (DOJ) regulations, "Nondiscrimination on the Basis of Disability in State and

Local Government Services," 28 C.F.R. Part 35;

- 5) DOJ regulations, "Nondiscrimination on the Basis of Disability by Public Accommodations and in Commercial Facilities," 28 C.F.R. Part 36;
- 6) General Services Administration regulations, "Construction and Alteration of Public Buildings," "Accommodations for the Physically Handicapped," 41 C.F.R. Part 101-19;
- 7) Equal Employment Opportunity Commission (EEOC) "Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act," 29 C.F.R. Part 1630;
- 8) Federal Communications Commission regulations,

"Telecommunications Relay Services and Related Customer

Premises Equipment for the Hearing and Speech Disabled,"

47 C.F.R. Part 64, Subpart F; and

9)	FTA regulations,	"Transportation for	Elderly and	Handicapped I	Persons," 49	C.F.R. Part 609.

NAME OF AUTHOR	IZED PERSON	
SIGNATURE OF AU	THORIZED PERSON	
TITLE	AND	 DATE

TITLE VI OF THE CIVIL RIGHTS ACT OF 1964

The undersigned agrees to comply with, and assure the compliance by its third party contractors and subcontractors under this project, with all requirements of Title VI of the Civil Rights Act of 1964, 42 USC & 2000d; U.S. DOT regulations, "nondiscrimination in Federally-Assisted Programs of the Department of Transportation—Effectuation of Title VI of the Civil Rights Act," 49 C.F.R. Part 21.

NAME OF AUTHORIZED PERSON	
SIGNATURE OF AUTHORIZED PERSON	
TITLE AND DATE	

LOBBYING CERTIFICATE

The undersigned certifies, to the best of his or her knowledge and belief, that:

- 1. No Federal appropriated funds have been paid or will be paid by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 3. The undersigned shall require that the language of this certification be included in the award documents for all sub awards at all tiers (including subcontracts, sub grants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

NAME OF AUTHORIZED PERSON	
SIGNATURE OF AUTHORIZED PERSON	
TITLE AND DATE	

NOTICE OF FEDERAL REQUIREMENTS

The undersigned understands that Federal laws, regulations, policies, and related administrative practices applicable to this Agreement on the date the Agreement was executed may be modified from time to time. The undersigned agrees that the most recent of such Federal requirements will govern the administration of this Agreement at any particular time, except if there is sufficient evidence in the Agreement of a contrary intent. Such contrary intent might be evidenced by express language in Part I of the Federal Transit Administration Agreement, or a letter signed by the Federal Transit Administrator the language of which modifies or otherwise conditions the text of a particular provision of Part II of the Federal Transit Administration Agreement. Likewise, new Federal laws, regulations, policies, and administrative practices may be established after the date the Agreement has been executed and may apply to this Agreement. To achieve compliance with changing Federal requirements, the undersigned agrees to include in all sub-assistance agreements and third party contracts financed with Government (FTA) assistance specific notice that Federal requirements may change and the changed limits or standards set forth in this Agreement to be observed in the performance of the Project are minimum requirements.

NAME OF AUTHORIZED PERSON
SIGNATURE OF AUTHORIZED PERSON
TITLE AND DATE

ENVIRONMENTAL, RESOURCE CONSERVATION, AND ENERGY REQUIREMENTS

The undersigned recognizes that many Federal and State statutes imposing environmental, resource conservation and energy requirements may apply to the Project. Some, but not all, of the major Federal laws that may affect the Project include: the National Environmental Policy Act of 1969, 42 USC && 4331 et seq.; the Clean Air Act, as amended, 42 USC && 7401 et seq. and scattered sections of 29 USC; the Clean Water Act, as amended, scattered sections of 33 and 12 USC; the Resource Conservation and Recovery Act, as amended, 42 USC && 6901 et seq.; and the Comprehensive Environmental Response, Compensation, and Liability Act, as amended, 42 USC && 9601 et seq.

The undersigned also recognizes that the Environmental Protection Agency (EPA), the Federal Highway Administration (FHWA), and other agencies of the Federal Government have issued and are expected in the future to issue requirements in the forms of regulations, guidelines, standards, orders, or other directives that may affect the Project.

Accordingly, the undersigned agrees to adhere to, and impose on its subcontractors, any such Federal requirements, as the Government may now or in the future promulgate. Listed below are the requirements of particular concern to the FTA. The undersigned expressly understand that this list does not constitute his or hers entire obligation to meet Federal requirements.

- a. <u>Environmental Protection</u>. To the extent applicable, compliance with the requirements of the National Environmental Policy Act of 1969, as amended, 42 USC && 4321 et seq.; Section 14 of the Federal Transit Act, as amended, 49 USC app.&& 1610; the Council on Environmental Quality regulations, 40 C.F.R. Part 1500 et seq.; and the joint FHWA/FTA regulations "Environmental Impact and Related Procedures," at 23 C.F.R. Part 771 and 49 C.F.R. Part 622.
- b. <u>Air Pollution</u>. Compliance with the joint FHWA/FTA regulations, "Air Quality Conformity and Priority Procedures for Use in Federal-Aid Highway and Transit Projects" 49 C.F.R. Part 623. This includes satisfactory assurances that any facilities or equipment acquired, constructed, or improved as a part of the Project are or will be designed and equipped to limit air pollution as provided in accordance with the following EPA regulations: "Control of Air Pollution from Motor Vehicles and Motor Vehicle Engines," 40 C.F.R. Part 85; "Control of Air Pollution from New and In-Use Motor Vehicles and New and In-Use Motor Vehicle Engines: Certification and Test Procedures," 40 C.F.R. Part 86; and "Fuel Economy of Motor Vehicles," 40 C.F.R. Part 600;; in accordance with applicable Federally-approved State Implementation Plan(s) (in particular, the Transportation Control Measures); and in accordance with applicable Federal regulations, directives and other standards.

standard	y Conservation. The undersigned and its third party contractors shall cos and policies relating to energy efficiency that are contained in applicabilition plans issued in compliance with the Energy Policy and Conservation	ole State energy
et seq.	NAME OF AUTHORIZED PERSON	
	SIGNATURE OF AUTHORIZED PERSON	
	TITLE AND DATE	

BIDDER INFORMATION

The following information:	must be provided.		
Name of Individual, Partne	ership, or Corporation.		
Mailing Address			
City	State	Zip Code	
Business Address(if differen	ent from Mailing Address)		
City	State	Zip Code	
Contact Person			
Area Code & Telephone			
Area Code & Fax Number			
e-mail address	Business webp	Business webpage address	
Authorized Person and Titl	le		
Signature of Authorized Per	rson and Date		

FEDERAL THIRD PARTY CONTRACT PROVISIONS

The following clauses are part of this and all contracts.

1. <u>Notice of Federal Requirements</u>

This procurement is subject to a financial assistance contract between the Federal Transit Administration (FTA) and CamTran. The contractor shall at all times comply with all applicable FTA regulations, policies, procedures and directives, including without limitation those listed herein, as they may be amended or promulgated from time to time during the term of this contract. Contractor's failure to so comply shall constitute a material breach of this contract. The preceding provisions include, in part, certain Standard Terms and Conditions required by DOT, whether or not expressly set forth in the preceding contract provisions. All contractual provisions required by DOT, as set forth in FTA Circular 4220.1F, as amended, are hereby incorporated by reference. Anything to the contrary herein notwithstanding, all FTA mandated terms shall be deemed to control in the event of a conflict with other provisions contained in this Agreement. The Contractor shall not perform any act, fail to perform any act, or refuse to comply with any CamTran requests which would cause CamTran to be in violation of the FTA terms and conditions.

2. No Government Obligation to Third Parties

- a. The Purchaser and Contractor acknowledge and agree that, notwithstanding any concurrence by the Federal Governmental in or approval of the solicitation or award of the underlying contract, absent the express written consent by the Federal Government, the Federal Government is not a party to this contract and shall not be subject to any obligations or liabilities to the Purchaser, Contractor, or any other party (whether or not a party to that contract) pertaining to any matter resulting from the underlying contract.
- b. The Contractor agrees to include the above clause in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clause shall not be modified, except to identify the subcontractor who will be subject to its provisions.

3. Civil Rights

a. <u>Equal Employment Opportunity</u> – The Contractor agrees to comply with all applicable EEO requirements of the U.S. Department of Labor regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor", 41 CFR, Parts 60 et. seq., which implement Executive Order No. 11246, "EEO", as amended by Executive Order 11375 Relating to EEO, 42 U.S.C. Section 2000 (e), and any Federal statutes, executive orders, regulations and Federal policies pertaining to construction undertaken as part of this project.

The contractor shall take affirmative actions to ensure that applicants employed, and that employees are treated during their employment, without regard to their race, religion, color, sex or national origin. Such actions shall include, but not be limited to, the

following: "employment, upgrading demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship."

- 1. Nondiscrimination on the Basis of Sex The Contractor agrees, to the extent applicable, to comply with Title IX of the Education Amendments of 1972, as amended, 20 U.S.C., Section 1681, 1683, and 1685 through 1687, which prohibit discrimination on the basis of sex and any Federal requirements that may be promulgated.
- 2. Nondiscrimination on the Basis of Age The Contractor agrees to comply with the applicable requirements of the Age Discrimination Act of 1975, as amended, 42 U.S.C., Section 6101 through 6107, and implementing regulations, which prohibits discrimination on the basis of age.

b. <u>Disadvantaged Business Enterprise (DBE)</u>

- (1) <u>Policy</u> It is the policy of the Department of Transportation that disadvantaged business enterprises as defined in 49 CFR Part 26, as amended, shall have the maximum opportunity to participate in the performance of contracts financed in whole or part with Federal funds under this agreement. Consequently, the DBE requirements of 49 CFR Part 26, as amended, apply to this agreement.
- DBE Obligation The supplier or contractor agrees to ensure that disadvantaged business enterprises as defined in 49 CFR Part 26, as amended, have the maximum opportunity to participate in the performance of contracts and subcontracts financed in whole or part with Federal funds provided under this agreement. In this regard all recipients or contractors shall take necessary and reasonable steps in accordance with 49 CFR Part 26, as amended, to ensure that disadvantaged business enterprises have the maximum opportunity to compete for and perform contracts. Contractors shall not discriminate on the basis of race, creed, color, national origin, age or sex in the award and performance of DOT-assisted contracts.

Where the contractor is found to have failed to exert sufficient reasonable and good faith efforts to involve DBE's in the work provided, CamTran may declare the contractor non-compliant and in breach of contract.

The contractor will keep records and documents for a reasonable time following performance of this contract to indicate compliance with CamTran's DBE Program. These records and documents will be made available at reasonable times and places for inspection be any authorized representative of CamTran and will be submitted to CamTran upon request.

CamTran will provide affirmative assistance as may be reasonable and necessary to assist the prime contractor in implementing their program for DBE participation.

(3) <u>DBE Non-Discrimination</u> - The Contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this

contract or agreement. The Contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of this contract. Failure by the Contractor or Subcontractor to carry out these requirements is a material breach of the contract or agreement, which may result in the termination of this contract or agreement or such other remedy as CamTran deems appropriate.

(4) Prompt Payment Clause - The prime contractor agrees to pay each subcontractor under this prime contract for satisfactory performance of its contract no later than thirty (30) calendar days from the receipt of each payment the prime contractor receives from CamTran. The prime contractor agrees further to return retainage payments to each subcontractor within thirty (30) calendar days after the subcontractor's work is satisfactorily completed. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of CamTran. This clause applies to both DBE and non-DBE subcontractors.

If the prime contractor seeks a delay or postponement of payments to its subcontractor(s), in accordance with the above requirement, it must first submit its request in writing to, and receive written approval from, CamTran. The request for delay or postponement must list the reason or reasons for the request in sufficient detail as to permit CamTran to make a determination. The decision to allow a delay or postponement shall rest solely and exclusively with CamTran.

Absent written approval from CamTran for a delay or postponement, and upon receipt by CamTran of written notification from the subcontractor that the requirements for prompt payment have not been met, CamTran may withhold reimbursement from future prime contractor invoices for amounts due to subcontractors for satisfactory work unless and until the prime contractor takes corrective action by paying its subcontractors any past due amounts promptly in accordance with this requirement and also assuring, in writing, that future payments will be so made. Any prime contractor who does not take such corrective action when required to do so will not be permitted to bid on future projects that involve subcontractors unless and until a written assurance of compliance with the prompt payment provisions is provided to CamTran. CamTran reserves the right to determine that a prime contractor who has not met the prompt payment provisions is not a responsible bidder for future contracts.

c. <u>Title VI of the Civil Rights Act of 1964</u>

During the performance of this contract, the Contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "Contractor"), agrees as follows:

<u>Compliance with Regulations</u>: The Contractor shall comply with the Regulations relative to nondiscrimination in federally assisted programs of the Department of Transportation (hereinafter "DOT") Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time (hereinafter referred to as the "Regulations"), which are herein incorporated by reference and made a part of this contract.

Nondiscrimination: The Contractor, with regard to the work performed by it during the

contract, shall not discriminate on the grounds of race, religion, color, sex, age or national origin in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The Contractor shall not participate either directly or indirectly in the discrimination prohibited by Section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.

Solicitations for Subcontractors, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiation made by the Contractor for work to be performed under a subcontract, including procurement of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the Contractor of the Contractor's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, religion, color, sex, age or national origin.

<u>Information and Reports</u>: The Contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its books, records, account, other sources of information, and its facilities as may be determined by the Recipient or the Federal Transit Administration (FTA) to be pertinent to ascertain compliance with such Regulations, orders and instructions.

Where any information is required or a Contractor is in the exclusive possession of another who fails or refuses to furnish this information, the Contractor shall so certify to the Recipient, or the Federal Transit Administration, as appropriate, and shall set forth what efforts it has made to obtain the information.

<u>Sanctions for Noncompliance</u>: In the event of the Contractor's noncompliance with the nondiscrimination provisions of this contract, the Recipient shall impose such contract sanctions as it or the Federal Transit Administration may determine to be appropriate, including, but not limited to:

- (1) Withholding of payments to the Contractor under the contract until the Contractor complies, and/or,
- (2) Cancellation, termination or suspension of the contract, in whole or in part. Incorporation of Provision: The Contractor shall include the provisions of paragraph (1) through (6) of this section in every subcontract, including procurement of materials and leases of equipment, unless exempt by the Regulations or directives issues pursuant thereto. The Contractor shall take such action with respect to any subcontract or procurement as the Recipient or the Federal Transit Administration may direct as a means of enforcing such provisions including sanctions for noncompliance: Provided however, that in the event a Contractor becomes involved in, or is treated with, litigation with a Subcontractor or Supplier as a result of such direction, the Contractor may request the Recipient, and in addition, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

d. Access Requirements for Individuals with Disabilities

CamTran agrees to comply with, and assure that any subrecipient, or third party contractor under the Project complies with all applicable requirements of the Americans with

Disabilities Act of 1990 (ADA), 42 USC 12101 et seq. and 49 USC 322; Section 504 of the Rehabilitation Act of 1973, as amended, 29 USC 794; Section 16 of the Federal Transit Act, as amended, 49 USC ap. 1612; and the following regulations and any amendments thereto:

- (1) U.S. DOT Regulations, "Transportation Services for Individuals with Disabilities (ADA)," 49 CFR Part 37;
- (2) U.S. DOT Regulations, "Nondiscrimination on the Basis of Handicap in Programs and Activities Receiving or Benefiting from Federal Financial Assistance," 49 CFR Pat 27;
- (3) U.S. DOT Regulations, "Americans with Disabilities (ADA) Accessibility Specifications for Transportation Vehicles," 49 CFR Part 38;
- (4) Department of Justice (DOJ) Regulations, "Nondiscrimination on the Basis of Disability in State and Local Government Services," 28 CFR Part 36;
- (5) DOJ Regulations, "Nondiscrimination on the Basis of Disability by Public Accommodations and in Commercial Facilities," 28 CFR Part 36;
- (6) General Services Administration regulations, "Construction and Alteration of Public Buildings," "Accommodations for the Physically Handicapped," 41 CFR Part 101-19;
- (7) (EEOC) "Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act," 29 CFR Part 1630; Equal Employment Opportunity Commission;
- (8) Federal Communications Commission Regulations, "Telecommunications Relay Services and Related Customer Premises Equipment for the Hearing and Speech Disabled," 47 CFR Part 64, Subpart F; and
- (9) FTA Regulations, "Transportation for Elderly and Handicapped Persons," 49 CFR part 609.
- 4. <u>Commonwealth of Pennsylvania Non-Discrimination Clause</u> CamTran is a contract recipient of funds from the Commonwealth of Pennsylvania. As a condition for the receipt of the funds, CamTran must certify its compliance wit the Non-Discrimination Clause and CamTran must require all subcontractors certify their compliance with the Non-Discrimination Clause.
- 5. <u>Termination</u> (For contracts of \$10,000 or greater)
 - a. <u>Termination for Convenience (General Provision)</u>: CamTran may terminate this contact, in whole or in part, at any time by written notice to the Contractor when it is in the Government's best interest. The Contractor shall be paid its costs, including contract close-out costs, and profit on work performed up to the time of termination. The Contractor shall promptly submit is termination claim to CamTran to be paid the

Contractor. If the Contractor has any property in its possession belonging to CamTran, the Contractor will account for the same, and dispose of it in the manner CamTran directs.

b. <u>Termination for Default (Breach or Cause) (General Provision)</u>: If the Contractor does not deliver supplies in accordance with the contract delivery schedule, or, if the contract is for services, the Contractor fails to perform in the manner called for in the contract, or if the Contractor fails to comply with any other provisions of the contract, CamTran may terminate this contract for default. Termination shall be effected by serving a notice of termination on the Contractor setting forth the manner in which the Contractor is in default. The Contractor will only be paid the contract price for supplies delivered and accepted, or services performed in accordance with the manner of performance set forth in the contract.

If it is later determined by CamTran that the Contractor had an excusable reason for not performing, such as a strike, fire, or flood, events which are not the fault of or are beyond the control of the Contractor, CamTran, after setting up a new delivery or performance schedule, may allow the Contractor to continue work, or treat the termination as a termination for convenience.

c. <u>Opportunity to Cure (General Provision)</u>: CamTran in its sole discretion may, in the case of a termination for breach or default, allow the Contractor (an appropriately short period of time) in which to cure the defect. In such case, the notice of termination will state the time period in which cure is permitted and other appropriate conditions.

If Contractor fails to remedy to CamTran's satisfaction the breach or default or any of the terms, covenants, or conditions of this Contract within ten (10) days after receipt by Contractor or written notice from CamTran setting forth the nature of said breach or default, CamTran shall have the right to terminate the Contract without any further obligation to Contractor. Any such termination for default shall not in any way operate to preclude CamTran from also pursuing all available remedies against Contractor and its sureties for said breach or default.

- d. <u>Waiver of Remedies for any Breach</u>: In the event that CamTran elects to waive its remedies for any breach by Contractor of any covenant, term or condition of this Contract, such waiver by CamTran shall not limit CamTran's remedies for any succeeding breach of that or of any other term, covenant or condition of this Contract.
- e. <u>Termination for Convenience (Professional or Transit Service Contracts)</u>: CamTran, by written notice, may terminate this contact, in whole or part, when it is in the Government's interest. If this contract is terminated, CamTran shall be liable only for payment under the payment provisions of this contract for services rendered before the effective date of termination.
- f. <u>Termination for Convenience of Default (Cost-Type Contracts)</u>: CamTran may terminate this contract, or any portion of it, by serving a notice of termination on the Contractor. The notice shall state whether the termination is for convenience of CamTran or for the default of the Contractor. If the termination is for default, the notice shall state the manner in which the Contractor has failed to perform the requirements of the contract. The

Contractor shall account for any property in its possession paid for from funds received from CamTran, or property supplied to the Contractor by CamTran. If the termination is for default, CamTran may fix the fee, if the contract provides for a fee, to be paid the Contractor in proportion to the value, if any, of work performed up to the time of termination. The Contractor shall promptly submit its termination claim to CamTran and the parties shall negotiate the termination settlement to be paid the Contractor.

If the termination is for convenience of CamTran, the Contractor shall be paid its contract close-out costs, and a fee, if the contract provided for payment of a fee, in proportion to the work performed up to the time of termination.

If, after serving a notice of termination for default, CamTran determines that the Contractor has an excusable reason for not performing, such as a strike, fire, flood, events which are not the fault of and are beyond the control of the Contractor, CamTran, after setting up a new work schedule, may allow the Contractor to continue work, or treat the termination as a termination for convenience.

6. <u>Breaches and Dispute Resolution (Relevant to Contracts in Excess of \$10,000)</u>

All contracts in excess of \$100,000 shall contain provisions or conditions which will allow for administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as may be appropriate. CamTran may include provisions for bonding, penalties for late or inadequate performance, retained earnings, liquidated damages or other appropriate measures.

<u>Disputes</u> - Disputes arising in the performance of this contract which are not resolved by agreement of the parties shall be decided in writing by the authorized representative of CamTran. This decision shall be final and conclusive unless within ten (10) days from the date of receipt of its copy, the Contractor mails or otherwise furnishes a written appeal to the Board of Directors. In connection with any such appeal, the Contractor shall be afforded an opportunity to be heard and to offer evidence in support of its position. The decision of the Board of Directors shall be binding upon the Contractor and the Contractor shall abide by the decision.

<u>Performance During Dispute</u> - Unless otherwise directed by CamTran, Contractor shall continue performance under this Contract while matters in dispute are being resolved.

<u>Claims for Damages</u> - Should either party to the contract suffer injury or damage to person or property because of any act or omission of the party or any of his employees, agents or others for those acts he is legally liable, a claim for damages therefor shall be made in writing to such other party within a reasonable time after the first observance of such injury or damage.

<u>Remedies</u> - Unless this contract provides otherwise, all claims, counterclaims, disputes and other matters in question between CamTran and the Contractor arising out of or relating to this agreement or its breach will be decided by arbitration if the parties mutually agree, or in a court of competent jurisdiction within Cambria County in the Commonwealth of Pennsylvania.

<u>Rights and Remedies</u> - The duties and obligations imposed by the Contract Documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties,

obligations, rights and remedies otherwise imposed or available by law. No action or failure to act by CamTran or its representative shall constitute a waiver of any right or duty afforded any of them under the contract, nor shall any such action or failure to act constitute an approval of or acquiescence in any breach thereunder, except as may be specifically agreed in writing.

- Lobbying (For Contracts of \$100,000 or Greater) Contractors who apply or bid for an award of \$100,000 or more shall file the certification required by 49 CFR Part 20, "New Restrictions on Lobbying." Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 USC 1352. Each tier shall also disclose the name of any registrant under the Lobbying Disclosure Act of 1995 who has made lobbying contacts on its behalf with non-Federal funds with respect to that Federal contract, grant or award covered by 31 USC 1352. Such disclosures are forwarded from tier to tier up to CamTran.
- 8. <u>Interest of Members of Congress</u> "No member of or delegate to the Congress of the United States shall be admitted to any share or part of this contract or to any benefit arising therefrom."
- 9. <u>Interest of Public Officials</u>: "No member, officer, or employee of the public body or of a local public body during his tenure or for one year thereafter shall have any interest, direct or indirect, in this contract or the proceeds thereof."
- 10. <u>Debarred Bidders</u> "Neither Contractor nor any officer or controlling interest holder of Contractor is currently, or has been previously, on any debarred bidders list maintained by the U.S. Government."
- 11. <u>Cargo Preference</u> Pursuant to 46 CFR Part 381, the following clauses are in effect for any contracts under which equipment, materials or commodities may be transported by ocean vessel in carrying out the contract:

The Contractor agrees:

- a. To utilize privately owned United States flag commercial vessels to ship at least 50% of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, materials, or commodities pursuant to this section, to the extent such vessels are available at fair and reasonable rates for United States flag commercial vessels.
- b. To furnish within 20 days following the date of loading for shipments originating within the United States, or within 30 working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, "on-board" commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (a) above to the Recipient (through the prime Contractor in the case of Subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, 400 Seventh St. S.W., Washington, D.C. 20590, marked with appropriate identification of the Project.

- c. To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract.
- Buy America Procurements of \$150,000 or more for Iron, Steel or Manufactured Products and Rolling Stock (Service Type Contracts are Exempt) Procurements of \$150,000 or more are subject to the Federal Transit Administration (FTA) Buy America Requirements in 49 CFR 661. A Buy America Certificate must be completed and submitted with a bid. A bid which does not include the certificate will be considered non-responsive. A waiver from the Buy America Provision may be sought by CamTran if grounds for a waiver exist. The Contractor agrees to comply with 49 U.S.C. 5323 (j) and 49 CFR Part 661, which provide that Federal Funds may not be obligated unless steel, iron and manufactured products used in FTA funded projects are produced in the United States, unless a waiver has been granted by FTA or the product is subject to a general waiver.
- 13. <u>Debarment and Suspension (Integrity Certification Contracts of \$25,000)</u> Federal Regulations prohibit CamTran from entering into contracts in excess of \$25,000 for goods and services from contractors that have been suspended or debarred from receiving Federally-assisted contracts. CamTran imposes this same regulation upon contractors to require that their subcontractors (in excess of \$25,000) not be suspended or debarred.

Contractors with contracts in excess of \$25,000 and their subcontractors with contracts in excess of \$25,000 shall each certify that they are not debarred or suspended from receiving federally-assisted contracts. It is the Contractor's responsibility to submit the certifications of any of its subcontractors who meet the \$25,000 threshold. The Contractor and subcontractors shall each submit both of the certificates included in the bid document.

a. <u>Certification Regarding Debarment, Suspension and Other Responsibility Matters</u> - Lower Tier Covered Transactions (Third Party Contracts over \$25,000).

Instructions for Certification

- (1) By signing and submitting this bid or proposal, the prospective lower tier participant is providing the signed certification set out below.
- (2) The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, CamTran may pursue available remedies, including suspension and/or debarment.
- (3) The prospective lower tier participant shall provide immediate written notice to CamTran if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (4) The terms "covered transaction," "debarment," "suspended," "ineligible," "lower tier covered transaction," "participant," "persons," "lower tier cover transaction," "principal," "proposal," and "voluntary excluded" as used in this clause, have the

- meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549 (49 CFR Part 29). You may contact CamTran for assistance in obtaining a copy of those regulations.
- (5) The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized in writing by CamTran.
- (6) The prospective lower tier participant further agrees by submitting this proposal that is will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- (7) A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Non-procurement List issued by U.S. General Service Administration.
- (8) Nothing contained in the foregoing shall be construed to require establishment of system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- (9) Except for transactions authorized under Paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible or voluntarily excluded from participation in this transaction, in addition to all remedies available to the Federal Government, CamTran may pursue available remedies including suspension and/or debarment.

b. "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transactions"

- (1) The prospective lower tier participant certifies, by submission of this bid or proposal, that neither it nor its "principals" (or defined at 49 CFR 29.105(p)) is presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) When the prospective lower tier participant is unable to certify to the statements in this certification, such prospective participant shall attach an explanation to this

proposal.

14. <u>Environmental Requirements</u>

CamTran recognizes that many Federal and State Statues imposing environmental, resource conservation and energy requirements may apply to the Project. Some, but not all, of the major Federal laws that may affect the Project include: the National Environmental Policy Act of 1969, 42 USC 4321 et seq.; the Clean Air Act, as amended, scattered sections 33 and 12 USC; the Resource Conservation and Recovery Act, as amended, 45 USC 6901 et seq.' and the Comprehensive Environmental Response, Compensation, and Liability Act, as amended, 42 USC 9601, et seq.. CamTran recognizes that the Environmental Protection Agency (EPA), the Federal Highway Administration (FHWA), and other agencies of the Federal Government have issued and are expected in the future to issue requirements in the form of regulations, guidelines, standards, orders or other directives that may affect the Project.

Accordingly, CamTran agrees to adhere to, and impose on its sub-recipients, any such Federal requirements, as the Government may now or in the future promulgate. Listed below are requirements of particular concern to the FTA. CamTran expressly understands that this list does not constitute CamTran's entire obligation to meet Federal requirements.

- a. <u>Environmental Protection</u> To the extent applicable, the Contractor agrees to comply with the requirements of the National Environmental Policy Act of 1969, as amended, 42, USC 4321 et seq.; Executive Order No. 11514, as amended, "Protection and Enhancement of Environmental Quality, " 42 U. S.C. 4321 note; FTA statutory requirements at 49 U.S.C. 5324(b); U.S. Council on Environmental Quality regulations, pertaining to compliance with the National Environmental Policy Act of 1969, as amended, 40 C.F.R. Part 1500 et seq.; and the joint FHWA/FTA regulations, "Environmental Impact and Related Procedures," at 23 CFR Part 771 and 49 CFR Part 622, and when promulgated, joint FHWA/FTA regulations, "NEPA and Related Procedures for Transportation Decision making, Protection of Public Parks, Wildlife and Waterfowl Refuges, and Historic Sites," 23 C.F.R. Part 1420 and 49 C.F.R. Part 623.
- Air Quality The Contractor agrees to comply with all applicable regulations, standards, b. orders, and requirements implementing the Clean Air Act, as amended, 42 U.S.C. 7401 et. seq. In addition: (1) The Contractor agrees to comply with the applicable requirements of the U.S. EPA regulations, "Conformity to State or Federal Implementation Plans of Transportation Plans, Programs, and Projects Developed, Funded or Approved Under Title 23 U.S.C. or the Federal Transit Act, 40 C.F.R. Part 51, Subpart T; and "Determining Conformity of Federal Actions to State or Federal Implementation Plans", 40 C.F.R. Part 93. To support the requisite air quality conformity finding for the Project, the Contractor agrees to implement each air quality mitigation or control measure incorporated in the Project. The Contractor further agrees that any Project identified in an applicable State Implementation Plan as a Transportation Control Measure will be wholly consistent with the design concept and scope of the Project described in the State Implementation Plan. (2)The Contractor agrees to comply with the following U.S. EPA regulations to the extent they are applicable to the Project: "Control of Air Pollution from Motor Vehicles and Motor Vehicle Engines, " 40 CFR Part 85; and " Control Air Pollution from New and In-Use Motor Vehicles and New And In-Use Vehicle Engines:

- Certification and Test Procedures," 40 CFR Part 86; and "Fuel Economy of Motor Vehicles," 40 CFR Part 600. (3) The Contractor agrees to comply with the notification of violating facility requirements of Executive Order No.11738, "Administration of the Clean Air Act and the Federal Water Pollution Control Act with Respect to Federal Contracts, Grants, or Loans," 42 U.S.C. 7606 note.
- c. <u>Use of Public Land</u> No publicly owned land from a park, recreation area, or wildlife or waterfowl refuge of national, State or local significance as determined by the Federal, State or local officials having jurisdiction thereof, or any land from an historic site of national, State, or local significance may be used for the Project unless specific findings required by 49 USC 303 are made by the US DOT.
- d. <u>Wild and Scenic Rivers</u> The Contractor agrees to comply with the Wild and Scenic Rivers Act of 1968, as amended, 16 U.S.C. 1271 et seq. relating to protecting components of the national wild and scenic rivers system.
- e. <u>Coastal Zone Management</u> The Contractor agrees to assure Project Consistency with the approved State management program developed under the Coastal Zone Management Act of 1972, as amended, 16 U.S.C. 1451 et seq.
- f. Wetlands The Contractor agrees to comply with the protections for wetlands in accordance with Executive Order No. 11990, as amended, "Protection of Wetlands," 42 U.S.C. 444321 note.
- g. <u>Floodplains</u> The Contractor agrees to comply with the flood hazards protections in floodplains in accordance with Executive Order No. 11988, as amended, "Floodplain Management" 42 U.S.C. 4321 note.
- h. <u>Endangered Species</u> The Contractor agrees to comply with the protections for endangered species of the Endangered Species Act of 1973, as amended, 16 U.S.C. 1531 et seq.
- i. <u>Historic Preservation</u> The Contractor agrees to facilitate compliance with the Federal historic and archaeological preservation requirements of section 106 of the National Historic Preservation Act, as amended, 16 U.S.C. 470f; of Executive Order No. 11593, "Protection and Enhancement of the Cultural Environment," 16 U.S.C. 470 note; and of the Archaeological and Historic Preservation Act of 1974, as amended, 16 U.S.C. 469a et seq. by taking the following actions::
 - (1) Consulting the State Historic Preservation Officer on the conduct of investigation in accordance with Advisory Council on Historic Preservation regulations, "Protection of Historic and Cultural Properties," 36 CFR Part 800, to identify properties and resources listed in or eligible for inclusion in the National Register of Historic Places that may be affected by the Project, and notifying the Government (FTA) of the existence of any such properties: and
 - (2) Complying with all Federal requirements to avoid or mitigate adverse effects upon such properties.

- j. <u>Environmental Justice</u> The Contractor agrees to comply with the policies of Executive Order No. 12898, "Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations," 42 U.S.C. 4321 note.
- Mitigation of Adverse Environmental Effects Should the Proposed project cause or result k. in adverse environmental effects, the Contractor agrees to take all reasonable measures to minimize those adverse effects, as required by 49 U.S.C. 5324(b), and other applicable Federal laws and regulations, including joint FHWA/FTA regulations, "Environmental Impact and Related Procedures," 23 C.F.R. Part 771 and 49 C.F.R. Part 622 and, when promulgated, with new FHWA/FTA regulations, "NEPA and Related Procedures for Transportation Decision making, Protection of Public Parks, Wildlife and Waterfowl Refuges, and Historic Sites," 23 C.F.R. Part 1420, and 49 C.F.R. Part 623. The Contractor agrees to comply with all environmental mitigation measures identified as commitments in applicable environmental documents (i.e. environmental assessments, environmental impact statements, memoranda of agreement and documents required by 49 C.F.R. 303) and with any conditions imposed by the Federal Government in a finding of no significant impact or a record of decision. The Contractor agrees that those mitigation measures are incorporated by reference and made part of any agreements. The Contractor agrees that deferred mitigation measures will be incorporated by reference and made part of any agreement as soon as agreement with the Federal Government is reached and understands that those mitigation measures agreed upon may not be modified or withdrawn without the express written approval of the Federal Government.
- 1. <u>Energy Conservation</u> CamTran and its third party contractors shall comply with mandatory standards and policies relating to energy efficiency that are contained in applicable State energy conservation plans issued in compliance with the Energy Policy and Conservation Act, 42 USC 6321 et seq. and 49 CFR Part 18.
- m. Clean Water Requirements (Relevant to Contracts in Excess of \$100,000) -
 - (1) The Contractor agrees to comply with all applicable standards, order or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 USC 1251 et seq. The Contractor agrees to report each violation to the Purchaser and understands and agrees that the Purchaser will, in turn, report each violation as required to assure notification to FTA and the appropriate EPA Regional Office.
 - (2) The Contractor also agrees to include these requirements in each subcontract exceeding \$100,000 financed in whole or in part with Federal assistance provided by FTA.
 - (3) The Contractor agrees to protect underground sources of drinking water consistent with the provisions of the Safe Drinking Water Act of 1974, as amended, 42 U.S.C. Section 300(h) et seq.
 - (4) The Contractor agrees to comply with the notification of violating facilities provisions of Executive Order No. 11738, "Administration of the Clean Air Act and the Federal Water Pollution Control Act with Respect to Federal Contracts, Grants, Loans," 42 U.S.C.

Section 7606.

n. <u>Clean Air Requirements (Relevant to Contracts in Excess of \$100,000)</u>

- (1) The Contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 USC 7401 et seq. The contractor agrees to report each violation to the Purchaser and understands and agrees that the Purchaser will, in turn, report each violation as required to assure notification to FTA and the appropriate EPA Regional Office.
- (2) The Contractor also agrees to include these requirements in each subcontract exceeding \$100,000 financed in whole or in part with Federal assistance provided by FTA.

o. Recycled Products (Relevant to Recycled Products Contracts in Excess Of \$100,000)

- (1) The Recycled Products requirements apply to all contracts for items designated by the EPA, when the contractor procures \$10,000 or more of one of these items during the fiscal year or the previous fiscal year with federal funds.
- (2) The Contractor agrees to comply with all requirements of Section 6002 of the Resource Conservation and Recovery Act (RCRA), as amended (42 USC 6962), including but not limited to the regulatory provisions of 40 CFR Part 247, and Executive Order 12873, as they apply to the procurement of the items designated in Subpart B of 40 CFR Part 247.

15. Privacy Act (Contracts Involving Federal Privacy Act Requirements)

The following requirements apply to the Contractor and its employees that administer any system of records on behalf of the Federal Government under any contract.

- a. The Contractor agrees to comply with, and assures the compliance of its employees with, the information restrictions and other applicable requirements of the Privacy Act of 1974, 5 USC 522a. Among other things, the Contractor agrees to obtain the express consent of the Federal Government before the Contractor or its employees operate a system of records on behalf of the Federal Government. The Contractor understands that the requirements of the Privacy Act, including the civil and criminal penalties for violation of that Act, apply to those individuals involved, and that failure to comply with the terms of the Privacy Act may result in termination of the underlying contract.
- b. The Contractor also agrees to include these requirements in each subcontract to administer any system of records on behalf of the Federal Government financed in whole or in part with Federal assistance provided by FTA.

16. Program Fraud and False or Fraudulent Statements

a. The Contractor acknowledges that the provisions of the Program Fraud Civil Remedies

Act of 1986, as amended 31 USC 3801 et seq. and US DOT regulations, "Program Fraud Civil Remedies," 49 CFR Part 31, apply to its actions pertaining to this Project. Upon execution of the underlying contract, the Contractor certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, or may make, or cause to be made, pertaining to the underlying contract or the FTA assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, the Contractor further acknowledges that if it makes, or caused to be made, a false, fictitious, or fraudulent claim, statement, submission or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the Contractor to the extent the Federal Government deems appropriate.

- b. The Contractor also acknowledges that if it makes, or caused to be made, a false, fictitious or fraudulent claim, statement, submission or certification to the Federal Government under a contract connected with a project that is financed in whole or in part with Federal assistance originally awarded by FTA under the authority of 49 USC 5307, the Government reserves the right to impose the penalties of 18 USC 1001 and 49 USC 5307 (n)(1) on the Contractor, to the extent the Federal Government deems appropriate.
- c. The Contractor agrees to include the above two clauses in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

17. Contract Work Hours and Safety Standards Act (Non-Construction Contracts in excess of \$2,500)

The following clauses are specifically mandated under Department of Labor regulation 29 CFR Part 5.5.

- a. Overtime requirements. No Contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
- b. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set for in paragraph (a) of this section the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the Unites States for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (a) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (a) of this section.
- c. <u>Withholding for unpaid wages and liquidated damages</u>. CamTran shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work

performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (b) of this section.

- d. <u>Subcontracts</u>. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in this section.
- Payrolls and basic records. Payrolls and basic records relating thereto shall be maintained e. by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the name, address and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or case equivalents thereof of the types described in section 1(b) (B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible and that the plan or program has been communicated in writing to the laborer or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees and the ratios and wage rates prescribed in the applicable programs.

18. <u>AUDIT AND INSPECTION OF RECORDS (To be included in all negotiated contracts and construction contracts entered into without competitive bidding procedures)</u>

The Contractor agrees that CamTran, the FTA Administrator, the Comptroller General of the U.S., or any of their duly authorized representatives, shall, for the purpose of audit and examination be permitted to inspect all work, materials, payrolls and other data and records with regard to the project and to audit the books, records, and accounts with regard to the project. Further, the Contractor agrees to maintain all required records for at least three years after CamTran makes its final payments and all other pending matters are closed. Contractor also agrees, pursuant to 49 CFR Part 633.17 to provide the FTA Administrator or his authorized representatives including any PMO Contractor access to Contractor's records and construction sites pertaining to a major capital project, defined at 49 U.S.C. 5302(a)1, which is receiving federal financial assistance through programs described at 49 U.S.C. 5307, 5309 or 5311.

19. <u>FLY AMERICA</u>

The Contractor understands and agrees that it will not participate in the costs of international air transportation of any persons involved in or property acquired for this project unless that air transportation is provided by U.S. flag air carrier to the extent service by these carriers is available, in accordance with the International Air Transportation Fair Competitive Practices Act of 1974, as amended, 49 CFR Section 301-3.61(b), and any later regulations at 41 CFR Section 301-10.131, et seq.

20. This Section Deliberately Left Blank.

21. Rights in Data (Planning, Research, Development and Demonstration Projects Only)

- a. The term "subject data" used in this section means recorded information, whether or not copyrighted, that is delivered or specified to be delivered under this agreement. The term includes graphic or pictorial delineation's in media such as drawings or photographs; test in specifications or related performance or design-type documents; machine forms such as punch cards, magnetic tape, or computer memory printouts; and information retained in computer memory. Examples include, but are not limited to: computer software, engineering drawings and associated lists, specifications, standards, process sheets, manuals, technical reports, catalog item identifications and related information. The term does not include financial reports, cost analyses and similar information incidental to Project administration.
- b. The following restrictions apply to all subject data first produced in the performance of this agreement:
 - (1) Except for its own internal use, the Recipient may not publish or reproduce such data in whole or in part, or in any manner or form, or may the Recipient authorize others to do so, without the written consent of the Government, until such time as the Government may have either released or approved the release of such data to the public; this restriction on publication, however, does not apply to agreements with academic institutions.
 - (2) As authorized by 49 CFR Section 18.34, the Government reserves a royalty-free, non-exclusive and irrevocable license to reproduce, publish or otherwise use, and to authorize others to use, for Federal Government purposes:
 - (a) Any work developed under grant, cooperative agreement, sub-grant, sub-agreement or third party contract, irrespective of whether or not a copyright has been obtained; and
 - (b) Any rights of copyrights to which a Recipient, sub-recipient or third party contractor purchases ownership with Federal assistance.
- c. When the FTA provides assistance to a Recipient for a Project involving planning, research, development or a demonstration, it is generally the FTA's intent to increase the body of mass transit knowledge, rather than to limit the benefits of the Project to those

parties that have participated therein. Therefore, unless the FTA determines otherwise, the Recipient of FTA assistance to support planning, research, development or a demonstration financed under the Federal Transit Act, as amended, understands and agrees that, in addition to the rights set forth in (2) above, the FTA may make available to any FTA Recipient, Sub-recipient, Third Party Contractor, or Third Party Subcontractor, either the FTA's license in the copyright to the "subject data" derived under this agreement or a copy of the "subject data" first produced under this agreement. In the event that such a Project, is not completed, for any reason whatsoever, all data developed under that Project shall become subject data as defined in (a) of this agreement and shall be delivered as the Government may direct. This subsection, however, does not apply to adaptations of automatic data processing equipment or programs of for the Recipients use which costs are financed with capital funds (Section 3, 9, 16, 18 of the Federal Transit Act, as amended, or Title 23 funds).

- d. Unless prohibited by State law, the Recipient agrees to indemnify, save and hold harmless the Government, its officers, agents, and employees acting within the scope of their official duties against any liability, including costs and expenses, resulting from any willful or intentional violation by the Recipient of proprietary rights, copyrights, or right of privacy, arising out of the publication, translation, reproduction, delivery, use or disposition of any data furnished under this agreement. The Recipient shall not be required to indemnify the Government for any such liability arising out of the wrongful acts of employees or agents of the Government.
- e. Nothing contained in this section on rights in data shall imply a license to the Government under any patent to be construed as affecting the scope of any license or other right otherwise granted to the Government under any patent.
- f. The requirements of subsections (b), (c) and (d) of the above do not apply to material furnished to the Recipient by the Government and incorporated in the work carried out under the agreement; provided that such incorporated material is identified by the Recipient at the time of delivery of such work.

22. Seat Belt Use

In accordance with Executive Order No. 13043, "Increasing Seat Belt Use in the United States," 23 U.S.C. 402 note, the Contractor is encouraged to adopt on-the-job seat belt use policies and programs for its employees that operate company-owned, rented or personally-operated vehicles and shall include this provision in all third party contracts and subcontracts under this Project.

23. <u>Federal Changes</u>

49 CFR Part 18 Applicability to Contracts. The Federal Changes requirement applies to all contracts. The flow down changes requirement flows down appropriately to each applicable changed requirement. No specific language is mandated. The following language has been developed by FTA. Federal Changes - Contractor shall at all times comply with all applicable FTA regulations, policies, procedures and directives, including without limitation those listed directly or by reference in the Master Agreement between Purchaser and FTA, as they may be amended or promulgated from time to time during the term of this contract. Contractor's failure to

so comply shall constitute a material breach of this contract.

24. <u>Veterans Employment</u>

As provided in 49 U.S.C. § 5325(k), to the extent practicable, the Recipient agrees and assures that each of its Subrecipients: (1) Will give a hiring preference to veterans, as defined in 5 U.S.C. § 2108, who have the skills and abilities required to perform construction work required under a third party contract in connection with a Capital Project supported with federal assistance appropriated or made available for 49 U.S.C. chapter 53, and (2) Will not require an employer to give a preference to any veteran over any equally qualified applicant who is a member of any racial or ethnic minority, female, an individual with a disability, or a former employee. Contractor also assures that its sub-recipients will: (1) Will give a hiring preference to veterans (as defined in 5 U.S.C. § 2108), who have the skills and abilities required to perform construction work required under a third party contract in connection with a capital project supported with funds made available or appropriated for 49 U.S.C. chapter 53, to the extent practicable, and (2) Will not require an employer to give a preference to any veteran over any equally qualified applicant who is a member of any racial or ethnic minority, female, an individual with a disability or a former employee.

ATTACHMENT H: ADDITIONAL BID CRITERIA

Intent of IFB

The specifications of this IFB indicate MINIMUM requirements unless otherwise indicated.

The price quoted by the bidder shall include items of labor, materials, tools, equipment and all other costs necessary to fully complete the project.

No change orders either deleting from or adding to these specifications will be allowed after the bid contract has been awarded without prior written approval by CamTran. If the change order involves a price change, the Contractor's request for CamTran approval shall be accompanied by a statement signed by an officer of the purchaser that the price change is fair and reasonable, along with the basis for that determination. A change order cannot expand the scope of the contract.

Acceptance of Bids

The purchaser reserves the right to accept any bid or to reject any and all bids. Any or all bids may be rejected if there is a sound documented business reason. Awards shall be made only to responsible bidders that possess the potential ability to perform successfully under the terms and conditions of this procurement. Consideration shall be given to such matters as bidder integrity, record of past performance and financial and technical resources when determining responsibility.

Bids may be modified or withdrawn by written notice or in person by a bidder if the bidder's identify is made known and a receipt of the bid is signed prior to the exact hour and date set for the opening of bids.

Withdrawal of Bids after Bid Opening

Withdrawal of erroneous bids after bid opening but before award based on bid mistakes shall be permitted by the written determination of CamTran's contracting officer. This may be done when the bidder requests relief and presents credible evidence that the reason for the lower bid price was a clerical mistake as opposed to judgment mistake and was actually due to an unintentional arithmetical error or an unintentional omission of a substantial quantity of work, labor, material or services made directly in the compilation of the bid. The request for relief and the supporting evidence must be received by CamTran's contracting officer within five (5) calendar days after bid opening.

Clarifications, Exceptions and Approved Equals

A minimum of ten calendar (10) days before the bid opening, all potential bidders may request the purchaser to give clarification, exceptions or approved equals for portions of the specification. Written notice of any changes or approved equals allowed or disallowed will be mailed no less than seven (7) calendar days prior to bid opening to all potential bidders. The bidder must comply with all specified items or his bid will be considered non-responsive. No

exceptions to the specification will be allowed after the bid opening.

Protests and Disputes

Any protests that may arise prior to or following the bid opening shall be filed in accordance with the Protest Procedures. Any disputes that may arise shall be handled in accordance with the Dispute Procedures.

Dispute Resolutions

Any bidder that is successful in obtaining a bid through the Cambria County Transit Authority must agree to a contract provision that any and all disputes will be subject to the jurisdiction and venue of the Cambria County Court of Common Pleas.

Commonwealth Nondiscrimination Clause

By signing and submitting the bid proposal form, the bidder agrees to comply with the Commonwealth Nondiscrimination Clause, which is made a part of this IFB.

Disputes

Except as otherwise provided in this contract, any dispute before, during and after construction concerning a question of fact arising under this contract which is not disposed of by agreement shall be decided by the Contracting Officer (CamTran), who shall reduce their decision to writing and mail or otherwise furnish a copy thereof to the Contractor. The decision of the Contracting Officer shall be final and conclusive, subject only to review by a court of competent jurisdiction. Pending final resolution of a dispute hereunder, the Contractor shall proceed diligently with the performance of the contract and in accordance with the Contracting Officer's decision.

Protest Procedures

1) Protests Prior to Bid Opening

Any protests, prior to bid opening, must be submitted in writing and received by CamTran at least seven (7) calendar days prior to bid opening. Each protest must be in writing and supported by sufficient information to enable the protest to be considered. A protest will not be considered if it is insufficiently supported or if it is not received within the specified time frame. CamTran's response will be in writing and set forth the reasons for its response. The decision is final, unless determined by a court of competent jurisdiction to have been fraudulent, or capricious, or arbitrary or so grossly erroneous as necessarily to imply bad faith or not supported by substantial evidence.

2) Protests after Bid Opening

Protests after bid opening will be considered only as to issues which were not apparent before bid opening. After bid opening no protests of specifications and drawings will be considered.

Any protest after bid opening, including a protest of contract award, must be in writing and

received by CamTran within five (5) calendar days of the action being protested. No other form of protest will be considered. After the time for protest award has expired, these protest procedures will be considered to be inapplicable, and any disputes will be resolved by CamTran under contract provisions or other remedies, if available.

3) Protests submitted shall:

- i. Include the name and address of protester.
- ii. Identify clearly the procurement under which the protest is being submitted.
- iii. Identify the action being protested and provide sufficient detailed documentation to support the protest action.
- iv. Indicate the action, ruling or relief desired from CamTran.

CamTran will review the protest and render its decision in writing within fifteen (15) calendar days of receipt of the protest, setting forth the reasons for its decision.

CamTran is responsible, in accordance with good administrative practice and sound business judgment, for the settlement of all contractual and administrative issues arising out of the procurement, including protests, contract defaults, disputes or breaches. The decision by CamTran as to protests shall be final and conclusive.

Federally Required Certifications

- 1) By signing and submitting this bid proposal, the bidder agrees to comply with the following:
 - a) Sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 327-330) as supplemented by Department of Labor regulations (29 CFR Part 5).
 - b) Mandatory standards and policies relating to energy efficiency which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub. L. 94-163, 89 Stat. 871).
 - c) Access by the purchaser, the Pennsylvania Department of Transportation, the Federal Transit Administration, the Comptroller General of the United States, or any of their duly authorized representatives to any books, documents, papers and records of the bidder (contractor) which are directly pertinent to the contract resulting from this IFB for the purpose of making audit, examination, excerpts and transcriptions.
 - d) Retention of all required records for three years after the purchaser makes final payment and all other ending matters are closed.
 - e) Federal Civil Rights Requirements which is made a part of this IFB.
 - f) Contract Work Hours and Safety Standards Act, which is made a part of this IFB.
 - g) Program Fraud and False or Fraudulent Statements or Related Acts.
 - (1) The bidder acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. §3801 et seq. and U.S. DOT

regulations, "Program Fraud Civil Remedies," 49
C.F.R. Part 31, apply to its actions pertaining to this Project. Upon execution of the underlying contract, the bidder certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or causes to be made, pertaining to the underlying contract or the FTA assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, the bidder further acknowledges that if it makes, or causes to be made, a false, fictitious or fraudulent claim, statement, submission or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the bidder to the extent the Federal Government deems appropriate.

- (2) The bidder also acknowledges that if it makes or causes to be made, a false, fictitious or fraudulent claim, statement, submission or certification to the Federal Government under a contract connected with a project that is financed in whole or in part with Federal assistance originally awarded by FTA under the authority of 49 U.S.C. § 5307, the Government reserves the right to impose the penalties of 18 U.S.C. §1001 and 49 U.S.C. §5307(n)(1) on the bidder, to the extent the Federal Government deems appropriate.
- (3) The bidder agrees to include the above two clauses in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.
- h) Incorporation of Federal Transit Administration (FTA) Terms. The preceding provisions include, in part, certain Standard Terms and Conditions required by PENNDOT, whether or not expressly set forth in the preceding contract provisions. All contractual provisions required by PENNDOT, and those as set forth in FTA Circular 4220.1F are hereby incorporated by reference. Anything to the contrary herein notwithstanding, all FTA mandated terms shall be deemed to control in the event of a conflict with other provisions contained in this Agreement. The bidder shall not perform any act, fail to perform any act or refuse to comply with any purchaser requests which would cause the purchaser to be in violation of the FTA terms and conditions.
- i) No Obligation by the Federal Government.
 - (1) The purchaser and bidder acknowledge and agree that, notwithstanding any concurrence by the Federal Government in or approval of the solicitation or award of the underlying contract, absent the express written consent by the Federal Government, the Federal Government is not a party to this contract and shall not be subject to any obligations or liabilities to the purchaser, bidder or any other party (whether or not a party to that contract pertaining to any matter resulting from the underlying contract).
 - (2) The bidder agrees to include the above clause in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clause shall not be modified, except to identify the subcontractor who will be subject to its provisions.

j) Federal Changes. The bidder shall at all times comply with all applicable FTA regulations, policies, procedures and directives, including without limitation those listed directly or by reference in the Agreement FTA MA (8) dated October 1 2001 between the purchaser and FTA, as they may be amended or promulgated from time to time during the duration of this contract. The bidder's failure to so comply shall constitute a material term breach of this contract.

Summary of Items to be Supplied with Bid

All applicable <u>bonding documents and forms</u> included must be executed and furnished by the bidder or the bid shall be disqualified. All addenda to the IFB must be acknowledged on the Price Proposal Form or acknowledged in a separate letter that is signed by the individual signing the Proposal Form and received by CamTran prior to the bid opening. Failure to acknowledge addenda (if applicable) will result in the automatic rejection of the bid unless receipt of addenda by the bidder can be verified by certified mail receipt or acknowledgement of receipt by email acovalt@camtranbus.com

Terms of Payment

Contracts resulting from this bid shall be subject to a financial assistance contract between the purchaser and the Commonwealth of Pennsylvania and the U.S. Department of Transportation (if applicable). The purchaser shall make full payment within thirty (30) calendar days of the date the service is determined by the purchaser to be in acceptable condition. The purchaser shall not be charged interest until the purchaser has received funding from the State for the purchase of the service. The State and Federal government will not be subject to any interest charges under any circumstances.

Termination

CamTran may immediately terminate the contract resulting from this IFB for any of the following reasons:

- 1) <u>Termination of Convenience</u>-CamTran may terminate this contract, in whole or in part, at any time by written notice to the contractor. The contractor shall be paid its costs, including contract close-out costs and profit on work performed up to the time of termination. The contractor shall promptly submit its termination claim to the purchaser to be paid the contractor. If the contractor has any property in its possession belonging to the purchaser, the contractor will account for the same and dispose of it in the manner the purchaser directs.
- 2) Termination for Default- If the contractor does not deliver supplies in accordance with the contract delivery schedule or if the contract is for services, the contractor fails to perform in the manner called for in the contract or if the contractor fails to comply with any other provisions of the contract, CamTran may terminate this contract for default. Termination shall be affected by serving a notice of termination on the contractor setting forth the manner in which the contractor is in default. The contractor will only be paid the contract price for supplies delivered and accepted or

services performed in accordance with the manner of performance set forth in the contract.

3) If it is later determined by the purchaser that the contractor had an excusable reason for not performing, such as a strike, fire or flood, events which are not the fault of or are beyond the control of the contractor, the purchaser, after setting up a new delivery of performance schedule, may allow the contractor to continue work or treat the termination as a termination for convenience.

A. Termination for Lack of Funds

The purchaser may terminate this contract, or any part of it, because of non-availability to the purchaser of funds required under the terms of this contract, by serving notice of termination upon the contractor. In such event, the contractor shall be paid, from available funds, in accordance with the provisions above.

B. <u>Dispute Resolutions</u>

Any bidder that is successful in obtaining a bid through Cambria County Transit Authority must agree to a contract provision that any and all disputes between the parties will be subject to the jurisdiction and venue of the Cambria County Court of Common Pleas.

Bid Familiarity

1) Each bidder shall thoroughly examine and be familiar with all the contract documents, including but not limited to the legal and procedural documents, bid conditions, specifications and addenda, if any, as well as any related requirements of these bid conditions and specifications. The submission of a proposal shall constitute an acknowledgement that the bidder has thoroughly examined and is familiar with the contract documents and specifications in every detail.